1	Fidelity of the APHRODITE Dataset in Representation
2	of Extreme Precipitation over Central Asia
3	Sheng Lai ^{1,2} , Zuowei Xie ^{*2} , Cholaw Bueh ² and Yuanfa Gong ¹
4	¹ College of Atmospheric Science, Chengdu University of Information Technology,
5	Chengdu 610225, China
6	² International Center for Climate and Environment Sciences, Institute of Atmospheric
7	Physics, Chinese Academy of Sciences, Beijing 100029, China
8	ABSTRACT
9	Using rain-gauge-observation daily precipitation data from the Global Historical
10	Climatology Network (V3.25) and the Chinese surface daily climate dataset (V3.0), this
11	study investigates the fidelity of the AHPRODITE dataset in representing extreme
12	precipitation, in terms of the extreme precipitation threshold value, occurrence number,
13	probability of detection and extremal dependence index during the cool (October to April)
14	and warm (May to September) seasons in Central Asia during 1961–1990. The distribution
15	of extreme precipitation is characterized by large extreme precipitation threshold values
16	and high occurrence numbers over the mountainous areas. The APHRODITE dataset is
17	highly correlated with the gauge-observation precipitation data and can reproduce the
18	spatial distributions of the extreme precipitation threshold value and total occurrence
19	number. However, APHRODITE generally underestimates the extreme precipitation
20	threshold values, while it overestimates the total numbers of extreme precipitation events,
21	particularly over the mountainous areas. These biases can be attributed to the

^{*}Corresponding author: Zuowei Xie Email: xiezuowei@mail.iap.ac.cn

overestimation of light rainfall and the underestimation of heavy rainfall induced by the rainfall distribution-based interpolation. Such deficits are more evident for the warm season with respect to the cool season, and thus the biases are more pronounced in the warm season than in the cool season. The probability of detection and extremal dependence index reveal that APHRODITE has a good capability of detecting extreme precipitation, particularly in the cool season.

28 Key words: APHRODITE; extreme precipitation; Central Asia; Xinjiang; fidelity.

29 https://doi.org/10.1007/s00376-020-0098-3

30 Article Highlights:

APHRODITE can reproduce the spatial distributions of the extreme precipitation
 threshold value and total occurrence number.

APHRODITE underestimates the extreme precipitation threshold values and
 overestimates the total numbers of the extreme precipitation.

The warm season features stronger shift of precipitation distribution "spectrum" to
 smaller amplitudes resulting in higher biases with respect to the cool season.

37 **1. Introduction**

Human-induced climate change has increased the occurrence and intensity of extreme weather and climate events that cause huge losses to human society and natural ecosystems (Trenberth et al., 2015). The arid and semi-arid regions—which are characterized by rare precipitation, strong evaporation and fragile natural ecosystems—are experiencing more

drastic climate change compared with global climate change (Chen et al., 2013; Hulme, 42 1996; Lioubimtseva and Henebry, 2009). A flurry of new studies has shown a statistically 43 significant warming trend of 0.6 $^{\circ}$ C per 10 yr for the arid region in northwestern China 44 since the beginning of the twenty-first century, which is nearly five times the global 45 warming trend of 0.13 °C per 10 yr (Wei and Wang, 2013; Hu et al., 2014). Furthermore, 46 this evident warming trend is accompanied by increased precipitation and extreme rainfall 47 events over the arid and semi-arid areas (Xie et al., 2018; Song and Bai, 2016; Donat et al., 48 2016; Chaney et al., 2014). 49

Central Asia extends from the Caspian Sea in the west to northwestern China, and 50 includes of two of the world's nine arid and semi-arid regions (Hulme, 1996). Hu et al. 51 (2017) and Chen et al. (2018) found that precipitation exhibits an increasing trend over 52 Xinjiang, whereas a decreasing trend over five states in Central Asia. Extreme precipitation 53 accounts for 41.9% of the annual precipitation in the Tianshan Mountains (Yang, 2003) 54 and is therefore one of the key factors affecting the security of water resources (Eekhout et 55 al., 2018) and the stability of fragile ecosystems (Pueppke et al., 2018; Holmgren et al., 56 2006) in Central Asia. Zhang et al. (2017) found that the frequency and intensity of extreme 57 precipitation increased significantly during 1938-2005 over Central Asia. Extreme 58 precipitation in Xinjiang also showed a significant increasing trend in both frequency and 59 intensity (Yang, 2003; Qi et al., 2015; Li et al., 2015). Owing to the increasing trend of 60 61 extreme precipitation and its dominant contribution to the annual precipitation, it is important to systematically investigate the daily extreme precipitation over Central Asia, 62 including Xinjiang. 63

Given that Central Asia features a complicated topography and the predominant 64 rainfall distribution over the mountains (Hu et al., 2016; Guo et al., 2017), a high-resolution 65 gridded data or a large number of gauge-observation data is necessarily required to 66 delineate extreme precipitation properties over Central Asia. However, the gauge-67 observation daily precipitation data from meteorological stations of the Global Historical 68 69 Climatology Network Daily (GHCN-D) is sparse and has declined substantially since 1991 over Central Asia due to the collapse of the Soviet Union (Hu et al., 2016; Zhang et al., 70 2017). The Asian Precipitation - Highly-Resolved Observational Data Integration Towards 71 72 Evaluation (APHRODITE) precipitation dataset is the only long-term (1950–2015) daily gridded precipitation dataset for Eurasia and is interpolated from gauge-observation data 73 (Yatagai et al., 2012). Although the faithfulness of APHRODITE precipitation data has 74 been noted for different regions of the world, the studies were primarily based on monthly 75 mean (Yatagai et al., 2012), index-based (Villafuerte II and Matsumoto, 2015; Singh and 76 Qin, 2019) comparisons, or the frequency of fixed precipitation values (Han and Zhou, 77 2010; He et al., 2019). We try to assess the daily extreme precipitation from the 78 APHRODITE dataset with the gauge-observation data for the period over 1960-1990. 79 Since the APHRODITE dataset incorporates most gauge-observation data, it is hard to find 80 gauge-observation data independent from the APRHODITE dataset. Therefore, this study 81 82 is an analysis of the assimilation technique rather than a validation of the APHRODITE 83 dataset relative to an independent ground truth. We hope the result could provide some clues for the improvement of algorithms and some helpful information for scientists. 84

Previous studies have mainly focused on the faithfulness of gridded data in terms of error indices and precipitation hit bias. This study aims to evaluate daily extreme

precipitation from the APHRODITE dataset over Central Asia, including Xinjiang, over 87 the 30-base-year period of 1961–1990 in terms of the extreme precipitation threshold value 88 and total number of extreme precipitation events. Their differences are explained on the 89 basis of precipitation error. The overall performance of APHRODITE for extreme 90 precipitation is given by the probability of detection (POD) and the extremal dependence 91 92 index (EDI) (Ferro and Stephenson, 2011). The remainder of this paper is organized as follows. Section 2 describes the data and analysis methods. Section 3 reports the results. 93 Section 4 provides a discussion followed by a summary of the results in section 5. 94

95 2. Data and Methods

96 2.1 Study Area

97 Figure 1 shows the location of the study area of Central Asia with topography features and the distribution of the meteorological stations. In this study, Central Asia encompasses 98 99 five countries, namely Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan and Uzbekistan, and Xinjiang province in northwestern China. Central Asia is geographically high in the 100 east and low in the west. The topography mainly includes deserts, plains, hills and 101 mountains. The average altitude of the Pamirs Plateau and Tianshan Mountains is above 102 4000 m. Three major rivers—the Syr Darya, the Amu Darya and the Ili rivers—originate 103 from the mountainous regions and flow into the lowlands and basins in the west. The 104 105 climate of Central Asia is characterized by a typical continental climate (Df/Ds) with annual precipitation ranging from 700 to 1200 mm in the mountainous areas, and semi-arid 106 (BSk) and desert (BWk) climates with annual precipitation of about 150 mm in the 107 108 lowlands and basins (Beck et al., 2018).

109 2.2 Data

110 2.2.1 Gauge-observation Precipitation Datasets

The observed precipitation data come from two rain-gauge-observation datasets, 111 which are GHCN-D Version 3.25 and the dataset of daily climate data from Chinese 112 meteorological stations for global exchange version 3.0. The GHCN-D V3.25 is an 113 integrated database of daily climate summarized from land surface stations across the globe, 114 which contains records from over 100,000 stations in 180 countries and territories. The 115 data undergo a series of quality checks before they are collected into the GHCN-D database. 116 However, the GHCN-D dataset includes only 18 meteorological stations in Xinjiang, which 117 is less than the number of Chinese national meteorological stations. To incorporate more 118 meteorological stations, we adopt daily precipitation data from the Chinese surface stations 119 for global exchange version 3.0 provided by the China Meteorological Data Service Center 120 (CMDC) (https://data.cma.cn/en/). After strict quality control by manually rechecking and 121 122 rectifying all suspicious and incorrect data, this dataset is homogeneous and reliable with a correct data rate close to 100%. 123

Given the lack of gauge-observation precipitation data over Central Asia since 1991, we focus on the period from 1 January 1961 to 31 December 1990. Furthermore, we chose meteorological stations with data available for at least 90% of the total number of days during 1961–1990. With this criterion, we obtained a total of 253 meteorological stations within the five countries from the GHCN-D and 63 meteorological stations in the Xinjiang region from the CMDC (Figure 1). Figure 2 shows the percentage of the total number of days with available precipitation data in each year for the 316 meteorological stations. The average percentage of the total number of days with available precipitation data is 97.3%.
Station numbers 51053 and above have a correct data rate of nearly 100%.

133 2.2.2 Gridded Precipitation Dataset

The APHRODITE project aims to provide long-term, high-resolution daily gridded 134 precipitation and temperature datasets over Asia. The gridded precipitation dataset is 135 interpolated from GTS-based data from gauge observations, data precompiled by other 136 projects or organizations, such as other national hydrological and meteorological services, 137 and data from individual collections. The interpolation of gauge-observation data to 138 gridded data is applied to the ratio of daily precipitation to daily climatology using a 139 Spheremap-type scheme, which considers daily-variation weighting based on the rainfall 140 distribution. Considering the current study period of 1961–1990, we use the gridded daily 141 precipitation over Russia/Northern Eurasia (APHRO RU V1101) from APHRODITE. 142 The APHRO RU V1101 daily precipitation dataset is on a $0.25^{\circ} \times 0.25^{\circ}$ latitude– 143 144 longitude grid and covers northern Eurasia for the period 1951–2007. We also use the ratio of 0.05° grid box containing stations provided by this dataset. 145

Compared with the GTS analysis and the Global Precipitation Climatology Centre (GPCC) full archive product version 4 (Schneider et al., 2008), the APHRODITE precipitation data are more accurate over Central Asia and the mountainous areas as it uses more gauge-observation data. Furthermore, a considerable number of studies have used APHRODITE as a reference dataset for comparison or modeling. Readers are referred to Yatagai et al. (2012) for more information.

152 2.3 Method

Given the relatively large spatial variation of precipitation over Central Asia, we adopt percentile-based values rather than fixed absolute values to define the extreme precipitation threshold value for each station or grid point. In addition, considering the differences in the general circulation and precipitation phase between summer and winter, we separate each year into the boreal cool (October to April) and warm (May to September) seasons. The percentile-based extreme precipitation threshold value for each station or grid point is defined based on the following procedure:

161 (1) Daily precipitation data of 1.0 mm or more were sorted in an ascending order (X_1 , 162 $X_2,...,X_q,...,X_n$) for each station or grid point during the warm or cool seasons over 1961– 163 1990.

164 (2) The value corresponding to percentile *q* is determined by:

$$X_q = X_{Ni} + r(X_{Ni+1} - X_{Ni})$$
(1)

165 where

$$Ni1 = floor\left(q \times \left(n + \frac{1}{3}\right) + \frac{1}{3}\right) \tag{2}$$

$$r = q \times (n+1) - floor(q \times (n+1))$$
(3)

n is the total number of days with precipitation of 1.0 mm or more for each station or grid point, and floor(Y) is the largest integer less than or equal to Y. Compared with other quantile definitions, this definition is a median-unbiased estimator regardless of the distribution (Hyndman and Fan, 1996). Following Zhai and Pan (2003), the percentile qchosen here is 95%. Extreme precipitation at a station or grid point is identified if the daily precipitation is above the extreme precipitation threshold value. The extreme events are counted for each day and each gauge-observation station or grid point. Therefore, an extreme event is referred to a station or grid point and there could be several extreme eventson a single day.

Noting the complicated interpolation of APHRODITE, we simply pick up the grid point nearest to the gauge-observation station to avoid additional bias induced by our interpolation (Qi et al., 2015; Hu et al., 2018). The average distance between each gaugeobservation station and its nearest grid point of APHRODITE is 9.1 km. For the extreme precipitation to be more comparable between two datasets, daily precipitation of an APHRODITE grid point is removed if there is a missing value in its nearest gaugeobservation station.

Since this study evaluates the APHRODITE data according to the gauge-observation 182 data, we consider the grid point is identical to its nearest gauge-observation station 183 assuming that the distance between them could be negligible for the extreme precipitation 184 detection. Figures 4 and 5 are generated by interpolating the gauge-observation and the 185 APHRODITE values into a 0.07×0.15 latitude/longitude grid using the geographic 186 information of the gauge-observation station regardless of the APRHODITE gird point 187 information. The interpolation mothed used here is radial basis function interpolation 188 189 (UCAR Unidata/MetPy:

190 https://unidata.github.io/MetPy/latest/examples/gridding/Point_Interpolation.html).

191 Similarly, such interpolation is applied to the biases between the gauge-observation values

and the APHRODITE values, POD and EDI.

193 2.3.2 Statistical Evaluation Metrics

To assess the extreme precipitation detection ability of the APHRODITE dataset, we use the POD and the EDI to evaluate the fidelity of the APHRODITE dataset. The (POD),

$$POD = \frac{H}{H+M} \tag{5}$$

$$FDR = \frac{F}{F+N} \tag{6}$$

$$EDI = \frac{\log FDR - \log POD}{\log FDR + \log POD}$$
(7)

where the number of hits of extreme precipitation (H), false detections (F) and correct negatives (N) are defined in Table 1.

The POD represents the ratio of the number of extreme precipitation events detected correctly by the APHRODITE dataset: the FDR denotes the proportion of the extreme precipitation events in which the APHRODITE dataset identifies extreme precipitation when the gauge-observation station does not. Compared with the POD and FDR, the EDI is base-rate independent, asymptotically equitable and non-degenerating (Ferro and Stephenson, 2011). The POD and FDR range from 0 to 1, and the EDI falls between -1and 1. For a perfect detection, the POD and EDI is 1, while the FDR is 0.

208 **3. Results**

198

209 3.1 Spatial Distribution of Extreme Precipitation

In order to describe the spatial characteristics of extreme precipitation events, we compute probability density functions (PDF) distributions of extreme precipitation station numbers in each day for the cool and warm seasons, which are shown in Figure 3. Daily extreme precipitation station numbers are primarily between 1 and 3 (i.e., below 1% of total number of stations) with percentages of 59.16% and 70.20% for the cool and warm seasons, respectively. The result suggests that the extreme precipitation events over Central Asia are mainly very localized. In comparison with the cool season, the PDF distribution of the warm season shifts right substantially to the bins with small numbers of stations, indicating more localized extreme precipitation events in the warm season.

Figure 4 shows the spatial distribution of extreme precipitation threshold values during 219 220 the boreal cool and warm seasons for the observations and the APHRODITE data. In general, the maxima of extreme precipitation threshold values are distributed along the 221 mountains in Central Asia in both the observations and the APHRODITE data. In the cool 222 season, the amplitudes of extreme precipitation threshold values are above 6 mm d^{-1} and 223 reach up to 24 mm d^{-1} (Fig. 4a). The maxima are situated to the north of the Plateau of 224 Iran, the Hindu Kush Mountains, Pamir, the western Tianshan Mountains and Kazakhskiv 225 Melkosopochnik. The spatial distribution of APHRODITE basically resembles that of the 226 observations but with smaller threshold values (Fig. 4b). Large biases of APHRODITE 227 with respect to observations mainly occur over the north of the Plateau of Iran, Kazakhskiy 228 Melkosopochnik and Xinjiang (Fig. 4c). In contrast, the warm season features larger 229 extreme precipitation threshold values and broader maxima areas (Fig. 4d). The maximum 230 over Pamir extends northwestward to the Aral Sea and additional maxima are seen over 231 the east to the Caspian Sea and the eastern Tianshan Mountains. Although the spatial 232 distribution of APHRODITE concurs with the observations, the negative biases in the 233 234 warm season are nearly double their cool season counterparts (Figs. 4e and f). This underestimation of daily extreme precipitation threshold values agrees with the 235 236 underestimation of monthly and annual precipitation of GPCC, Climate Research Unit 237 (CRU) and Willmott and Matsuura (WM) datasets (Hu et al., 2018). Unlike the monthly

238

extreme precipitation threshold values are smaller over the mountains than the lowlands.

Figure 5 shows the spatial distribution of the total numbers of extreme precipitation 240 events during the cool and warm seasons over 1961-1990 for the observations and the 241 APHRODITE data. Similar to the distribution of extreme precipitation threshold values, 242 243 extreme precipitation primarily occurs over the mountains. In contrast, the biases mainly occur over the main regions of extreme precipitation. The cool season features a region of 244 abnormally high numbers of extreme precipitation (up to 121 days) extending from the 245 north of the Hindu Kush Mountains via Pamir to the Altai Mountains with two low centers 246 to its east and west (Fig. 5a). In addition, moderately high numbers of extreme precipitation 247 are observed over Kazakhskiy Melkosopochnik and to the south of the Ural Mountains. 248 The distribution of total numbers of extreme precipitation in the APRHODITE data is 249 consistent with the observations but with a larger magnitude (Fig. 5b). The overestimation 250 regions coincide with the maxima of extreme precipitation occurrence and 29.4% of the 251 total number of grid points have biases above 5 days (Fig. 5c). In the warm season, the 252 distribution of extreme precipitation occurrence numbers is more regional and more 253 northward than the cool season counterparts (Fig. 5d). The maxima are confined to the 254 Tianshan Mountains and the northern border of Kazakhstan. Furthermore, there is a 255 broader small number of extreme precipitation over the Turan Plain. Although the 256 257 distribution of APHRODITE resembles that of the observations, the biases in the warm season are greater than those in the cool season (Fig. 5f). The percentage of grid points 258 with biases beyond 5 days increases to 38.6%. 259

Figure 6 shows time series of the total numbers of extreme precipitation events in each 260 month derived from the 316 gauge-observation stations and APHRODITE grid points for 261 the cool and warm seasons. The time series of APRHODITE are basically consistent with 262 those of gauge observation with correlation coefficients of 0.98 and 0.95 at 99.9% 263 confidence level for the cool and warm seasons, respectively. Considering the cool season, 264 265 APHRODITE tends to overestimate the large numbers of extreme precipitation events, while underestimate the small numbers of events (Fig. 6a). In contrast, the warm season 266 features more evident overpopulation of both the small and large numbers of extreme 267 precipitation events (Fig. 6b). 268

269 3.2 Possible Causes of the Bias

To illustrate the potential causative factors of the aforementioned lower threshold 270 values and higher occurrence frequencies of extreme precipitation in APHRODITE relative 271 to the observations, we calculate the PDFs and total number of wet days (> 1 mm d^{-1}) from 272 the two datasets. Figure 7 shows scatterplots and PDFs of the observation and 273 APHRODITE precipitation data during the cool and warm seasons. The APHRODITE 274 daily precipitation is highly correlated with the gauge-observation precipitation, which is 275 significant at the 99% confidence level (p < 0.01). The scatterplots show that these two 276 datasets concentrate along their liner regression line, particularly in the cool season (Figs. 277 7a and b). The coefficients of linear regression for the cool and warm seasons are 0.79 and 278 0.64, respectively, which indicates that APHRODITE has a tendency to underestimate the 279 precipitation amplitude. However, the regression constants are positive, suggesting more 280 281 small precipitation values in APRHODITE than in the observations. As seen from Figs. 7c and d, APHRODITE overestimates the precipitation between 1 mm d^{-1} and 4 mm d^{-1} , 282

particularly in the warm season. This suggests that the precipitation distribution "spectrum" shifts to smaller amplitudes. It can be concluded from the percentile-based extreme definition that the overestimation of light precipitation and underestimation of moderate and heavy precipitation both contribute to the smaller extreme precipitation threshold values of APHRODITE with respect to the observations.

288 Figure 8 shows the spatial distribution of the biases in the total number of the wet days (>1 mm d⁻¹) between APHRODITE and the observations. The total number of the wet days 289 is generally larger in AHPRODITE, ranging from 20 to 350 days. The spatial distributions 290 291 strongly resemble the biases of the total numbers of extreme precipitation (Figs. 5c and f). As the interpolation of APHRODITE precipitation is based on the rainfall distribution, the 292 precipitation at gauge-observation stations adjacent to a grid point of APHRODITE could 293 be carried into the grid point even though its nearest gauge-observation station does not 294 have rainfall. However, such interpolation could overestimate the precipitation amplitude 295 at a grid point if there is a precipitation maximum at its nearest gauge-observation station. 296 These two deficits tend to be more pronounced over the regions with larger annual 297 precipitation (i.e., the larger extreme precipitation threshold values), resulting in more 298 evident overestimation of extreme precipitation. 299

Considering the cool season, the average precipitation and standard deviation are 4.4 mm d⁻¹ and 5.53, respectively. In comparison with the cool season, the warm season features more precipitation (5.5 mm d⁻¹) with larger variance (6.67). The interpolation induces more evident shift of precipitation distribution "spectrum" to smaller amplitudes for the warm season with respect to the cool season (Fig. 7), which result in smaller extreme threshold values and stronger overpopulation of extreme precipitation relative to the gauge observation. Therefore, the biases are much higher in the warm season than those in thecool season.

308 3.3 Fidelity of Representation Extreme Precipitation

Figure 9 shows the spatial distribution of the POD of APHRODITE extreme 309 precipitation in Central Asia. In the cool season, the POD values are generally above 0.70 310 over most parts of Central Asia except Kazakhskiy Melkosopochnik and the Tianshan 311 Mountains in Xinjiang (Fig. 9a). The mean POD in Central Asia is 0.70, which suggests 312 that 70% of the observed extreme precipitation is captured correctly by APHRODTE. 313 Despite overestimating the number of extreme precipitation events over the mountains 314 (Figs. 5c and f), a maximum above 0.85 is seen over Pamir. As the POD depends on the 315 total number of extreme precipitation events in the observations, the overestimation of 316 extreme precipitation events increases the likelihood of a high POD. 317

Similar to the aforementioned larger biases in the warm season, the warm season has smaller POD values than the cool season with a mean value of 0.65 (Fig. 9b). There are additional minima in the Turan Lowland (0.53) and the Tarim Basin (0.48), which overlap with small threshold values and low number of extreme precipitation events (Figs. 4f and 5f). High PODs corresponding to overestimated extreme precipitation and a low POD corresponding to small number of extreme precipitation events suggests that the POD depends on the total number of extreme precipitation events.

Figure 10 shows the spatial distribution of the EDI of extreme precipitation for APHRODITE during the cool and warm seasons. Compared with the POD, the EDI is more comparable between the low and high numbers of extreme precipitation events. The amplitudes of the EDI are obviously larger than the POD. In the cool and warm seasons, 84.2% and 64.6% of grid points have EDI scores above 0.8, respectively. Three minima
remain over northern Kazakhstan and central Xinjiang. In general, the identification of
extreme precipitation in APHRODITE can be regarded as considerably reliable.

As it can be seen from Fig. 1, there are some stations within a single grid box. To 332 quantify the impact of the number of stations included in a single grid box, we compute 333 334 the averages of the extreme precipitation biases, POD and EDI stratified by different number of stations within each of 316 grid boxes. The grid boxes mainly include 1 and 2 335 gauge-observation stations, which are 191 and 110, respectively. Besides, 13 grid boxes 336 337 encompass 3 stations, while only 2 grid boxes include 5 stations. Table 2 shows the averages of extreme precipitation biases, POD and EDI stratified by 1, 2, 3 and 5 stations 338 within a grid box. As it will be subsequently shown in the discussions, there are two stations 339 not incorporated to APHRODITE and two stations with 5-year anomalous values, where 340 the APHRODITE grid points exhibit relatively low performances. These four stations are 341 only related to four grid boxes that include 3 stations. To be more comparable, we have 342 removed these four stations and grid points for the grid box with 3 stations. There is an 343 overall improvement of APHRODITE in representing extreme precipitation if the grid box 344 incorporates 2 and 3 gauge-observation stations. Although the grid boxes with 5 stations 345 have some improvements in POD and EDI with respect to those with 1 station, they exhibit 346 347 an overall degradation compared to those with 2 and 3 stations. Such degradation could 348 possibly be attributed to the impact of topography since these two grid boxes are both in the Trans-Ili Alatau Mountains with altitudes of 3185 m and 3966 m, respectively. 349

350 4. Discussions

It is noted that station numbers 36335, 51581 and 51655 (marked in Fig. 8a) have the lowest POD and EDI in the cool season. By checking the ratio of the 0.05° grid box containing stations provided by APHRODITE, we confirm that the APHRODITE dataset does not incorporate station numbers 51581 and 51655 in Xinjiang and station number 36335 in Kazakhskiy Melkosopochnik before 1966 in the interpolation. Apparently, owing to the absence of station numbers 51581 and 51655, their nearest grid points in APHRODITE fail to identify extreme precipitation.

We compare the gauge-observation precipitation of station number 36335 with the 358 precipitation of its nearest grid point in APHRODITE in the warm season, which is shown 359 in Figure 9. Although the number of extreme precipitation events is comparable between 360 the two datasets, the extreme precipitation events do not exactly overlap with each other. 361 The observational data show that extreme precipitation dominates during 1961–1965. In 362 APRHODITE, this station is ruled out for this period after a series of quality control checks 363 conducted by the APRHODITE gridding algorithm. As such, the predominant extreme 364 precipitation events in the observations during 1961–1965 are absent in APHRODITE. As 365 a result, the extreme precipitation threshold value dramatically declines from 38.2 mm d^{-1} 366 for the observations to 12.1 mm d⁻¹ for APRHODITE, resulting in more extreme 367 precipitation since 1966 in the APRHODITE dataset. Therefore, station number 36335 has 368 smaller values for both the POD and EDI. Similarly, the quality control processes of 369 370 APHRODITE also rule out some of the extreme precipitation in the gauge observations, particularly in the desert areas, which results in relatively lower POD and EDI scores and 371 higher negative biases of the threshold in the Turan Lowland and the Tarim Basin. We 372

have checked carefully the other 315 stations, and there is another station No. 35582 similar
to No. 36335.

Figure 10 shows the distribution of the distance between each observation station and 375 its nearest grid point in APHRODITE. The distance shows a normal distribution with mean 376 value of 9.1 km and a standard deviation of 3.6. The magnitude of the distance ranges 377 between 1.1 km and 16.7 km. APHRODITE uses a modified distance-weighting 378 interpolation method (Yatagai et al., 2012, 2018), which partially contributes to 379 underestimation of precipitation and extreme precipitation extreme values. As we mainly 380 focus on the representation of extreme precipitation rather than the precipitation errors, we 381 assume that such a distance is negligible for the detection of extreme precipitation. 382

The number of extreme precipitation days is not comparable between the cool and warm seasons, which are 212*30 and 153*30, respectively. Strictly speaking, we should not directly compare the distribution of extreme precipitation between two seasons. However, this study compares the distribution of extreme precipitation in the warm season with that in the cool season to highlight the signatures of extreme precipitation and make the study more concise.

389 **5. Conclusions**

Using gauge-observation data, this study examines the fidelity of the APHRODITE dataset in representing extreme precipitation over Central Asia, which includes the conventional five countries and Xinjiang province in China, in terms of the extreme precipitation threshold value, the total number of extreme precipitation events, POD and EDI during the cool and warm seasons during 1961–1990.

The APHRODITE dataset is highly correlated with the gauge-observation 395 precipitation data and can reproduce the spatial distributions of the extreme precipitation 396 threshold value and occurrence number. For the cool season, the maxima of the extreme 397 precipitation threshold value and occurrence number reside over the mountainous areas. 398 such as the Hindu Kush Mountains, Pamir, the western Tianshan Mountains and 399 400 Kazakhskiy Melkosopochnik. APHRODITE tends to underestimate the extreme precipitation threshold value, particularly over the regions with moderate threshold values. 401 In contrast, APHRODITE overestimates extreme precipitation over the regions with 402 403 greater threshold values. Considering the temporal feature, APRHODITE is basically consistent with gauge observation with an overall overpopulation, particularly during the 404 time with large numbers of extreme precipitation events. The distribution-based 405 interpolation of precipitation results in APHRODTE overestimating light rainfall and 406 underestimating heavy rainfall. Therefore, APHRODITE underestimates the extreme 407 precipitation threshold value and overestimates the total number of extreme precipitation 408 events, particularly over the mountainous areas. Since more powerful shift of precipitation 409 distribution "spectrum" to smaller amplitudes for the warm season with respect to the cool 410 season, the biases are more evident in the warm season than the cool season. 411

The POD and EDI reveal that APHRODITE has a fairly good capability of detecting extreme precipitation, particularly in the cool season. The number of sampling grid points with POD values above 0.7 account for 79.7% of the grid points in the cool season and 60.7% in the warm season, while grid points with EDI values above 0.8 account for 84.2% and 64.6% of the grid points in the cool and warm seasons, respectively.

This study primarily focused on the representation of extreme precipitation in 417 APHRODITE during 1961–1990 and interpreted the biases from the perspective of the 418 precipitation distribution. The interannual and interdecadal variabilities of extreme 419 precipitation and the corresponding large-scale meteorological patterns remain to be 420 unexplored. To address these questions, our future study will extend the study period to 421 422 2015 to investigate the temporal variability of extreme precipitation on the basis of the current extreme precipitation threshold value as well as the underlying physical 423 mechanisms using the APHRODTE dataset with the satellite precipitation data instead of 424 gauge observation data. Based on our finding, it is appropriate to perform the extreme 425 analysis with APHRODITE to the places that incorporates gauge-observation data. 426 Extremes over places without incorporating gauge observation should be examined 427 carefully with atmospheric circulations. The total number of gauge-observations stations 428 over Central Asia experienced two drastic declines in 1991 and 2006, respectively, which 429 is the same situation for APHRODITE (Yatagai et al., 2012, 2018). It is unrealistic to 430 conduct the extreme analysis over Central Asia since 2007 using the gauge-observation 431 data. Therefore, it would be better to use the APHRODITE dataset with the satellite 432 precipitation data that calibrated with the APRHRODITE data over their overlap years, 433 taking 1998-2004 for example (Yatagai et al., 2014). 434

Acknowledgments. The authors are grateful to two anonymous reviewers for their 435 valuable comments and suggestions. This research was funded by National Key Research 436 and Development Program of China (2018YFC1507101) and National Natural Science 437 Foundation of China (41861144014, 41875078 and 41630424). We acknowledge the 438 Hirosaki University for providing APHRODITE precipitation 439 the data

(http://aphrodite.st.hirosaki-u.ac.jp/download/). We thank the China Meteorological Data 440 Service Center (CMDC) for providing the Chinese Surface Daily Climate Dataset (V3.0) 441 (https://data.cma.cn/en/?r=data/detail&dataCode=SURF CLI CHN MUL DAY CES 442 V3.0) and the National Oceanic and Atmospheric Administration, National Centers for 443 Environmental Information (NOAA/NCEI) for providing the Global Historical 444 445 Climatology Network Daily Dataset (V3.25) (Menne et al., 2012). We convey our gratitude to the contributors of the SciPy ecosystem (Virtanen et al., 2020), which was used for data 446 analysis and visualization. 447

448

REFERENCES

- Beck, H. E., N. E. Zimmermann, T. R. McVicar, N. Vergopolan, A. Berg, and E. F. Wood,
 2018: Present and future Köppen-Geiger climate classification maps at 1-km
 resolution. *Scientific Data*, 5, 180214.
- Chaney, N., J. Sheffield, G. Villarini, and E. Wood, 2014: Development of a highresolution gridded daily meteorological dataset over sub-Saharan Africa: spatial
 analysis of trends in climate extremes. *Journal of Climate*, 27, 5815–5835.
- 455 Chen, X., F.Q. Jiang, Y.J. Wang, Y.M. Li, R.J. Hu, 2013: Characteristics of the eco-
- 456 geographical pattern in arid land of Central Asia. *Arid Zone Res.*, **30**(3), 385–390.
- 457 Chen, X., S. Wang, Z. Hu, Q. Zhou, and Q. Hu, 2018: Spatiotemporal characteristics of
- 458 seasonal precipitation and their relationships with ENSO in Central Asia during 1901-
- 459 2013, *Journal of Geographical Sciences*, **28**, 1341–1368.
- 460 Donat, M. G., A. L. Lowry, L. V. Alexander, P. A. O'Gorman, and N. Maher, 2016: More
- 461 extreme precipitation in the world's dry and wet regions. *Nature Climate Change*, **6**,
- 462 508–513.

- Eekhout, J., J. Hunink, W. Terink, and J. de Vente, 2018: Why increased extreme
 precipitation under climate change negatively affects water security. *Hydrology and Earth System Sciences*, 22, 5935–5946.
- 466 Ferro, C., and D. Stephenson, 2011: Extremal dependence indices: improved verification
- 467 measures for deterministic forecasts of rare binary events. *Weather and Forecasting*,
 468 **26**(5), 699–713.
- Guo, H., A. Bao, F. Ndayisaba, T. Liu, A. Kurban, and P. De Maeyer, 2017: Systematical
 evaluation of satellite precipitation estimates over Central Asia using an improved
 error-component procedure. *Journal of Geophysical Research: Atmospheres*, 122,

472 10906–10927.

- Holmgren, M., and Coauthors, 2006: Extreme climatic events shape arid and semiarid
 ecosystems. *Frontiers in Ecology and the Environment*, 4, 87–95.
- Hu, Z., Q. Hu, C. Zhang, X. Chen, and Q. Li, 2016: Evaluation of reanalysis, spatially
 interpolated and satellite remotely sensed precipitation data sets in central Asia. *Journal of Geophysical Research: Atmospheres*, **121**(10), 5648–5663.
- Hu, Z., Q. Zhou, X. Chen, J. Li, Q. Li, D. Chen, W. Liu, and G. Yin, 2018: Evaluation of
 three global gridded precipitation data sets in central Asia based on rain gauge
 observations. *International Journal of Climatology*, 38(9), 3475–3493.
- Hu, Z., C. Zhang, Q. Hu, and H. Tian, 2014: Temperature changes in Central Asia from
 1979 to 2011 based on multiple datasets. *Journal of Climate*, 27(3), 1143–1167.
- 483 Hu, Z., Q. Zhou, X. Chen, C. Qian, S. Wang, and J. Li, 2017: Variations and changes of
- 484 annual precipitation in Central Asia over the last century. *International Journal of*
- 485 *Climatology*, **37**,157–170.

486	Hulme, M., 1996: Recent climatic change in the world's drylands. Geophysical Research
487	<i>Letters</i> , 23 (1), 61–64.

- Hyndman, R., and Y. Fan, 1996: Sample quantiles in statistical packages. *The American Statistician*, **50**, 361–365.
- 490 Kulkarni, A., S. Patwardhan, K. K. Kumar, K. Ashok, and R. Krishnan, 2013: Projected
- climate change in the Hindu Kush–Himalayan region by using the high-resolution
 regional climate model PRECIS. *Mountain Research and Development*, **33**, 142–151,
- 493 110.
- 494 Li, J. X., C. L. Du, S. F. Du, J. Zhao, and C. C. Xu, 2015: Temporal-spatial variation and
- 495 trend prediction of extreme precipitation events in Xinjiang. *Arid Zone Res.*, 32(6),
 496 1103–1112. (in Chinese with English abstract)
- Lioubimtseva, E., and G. M. Henebry, 2009: Climate and environmental change in arid
 Central Asia: Impacts, vulnerability, and adaptations. *Journal of Arid Environments*,
- 499 **73**(11), 963–977.
- 500 Menne, M., I. Durre, R. Vose, B. Gleason, and T. Houston, 2012: An overview of the
- global historical climatology network-daily database. *Journal of Atmospheric and Oceanic Technology*, **29**(7), 897–910.
- Pueppke, S., S. Nurtazin, N. Graham, and J. Qi, 2018: Central Asia's Ili river ecosystem
 as a wicked problem: unraveling complex interrelationships at the interface of water,
 energy, and food. *Water*, 10, 541.
- 506 Qi, Y., H. Y. Chen, S. B. Fang, and W. G. Yu, 2015: Variation characteristics of extreme
- 507 climate events in northwest china during 1961-2010. Journal of Arid Meteorology,
- 33(6), 72–78. (in Chinese with English abstract)

- Schneider, U., T. Fuchs, A. Meyer-Christoffer, and B. Rudolf, 2008: Global precipitation
 analysis products of the GPCC. Global Precipitation Climatology Centre (GPCC),
 DWD, 12 pp.
- 512 Singh, V., and X. Qin, 2019: Data assimilation for constructing long-term gridded daily
- rainfall time series over Southeast Asia. *Climate Dynamics*, **53**, 3289–3313.
- 514 Song, S., and J. Bai, 2016: Increasing Winter Precipitation over Arid Central Asia under
- 515 Global Warming. *Atmosphere*, **7**, 139.
- Trenberth, K. E., J. T. Fasullo, and T. G. Shepherd, 2015: Attribution of climate extreme
- 517 events. *Nature Climate Change*, **5**, 725–730.
- 518 Villafuerte II, M. Q. V., and J. Matsumoto, 2015: Significant influences of global mean
- temperature and ENSO on extreme rainfall in Southeast Asia. *Journal of Climate*, 28,
 1905–1919.
- 521 Virtanen, P., and Coauthors, 2020: SciPy 1.0: fundamental algorithms for scientific
 522 computing in Python. *Nature Methods*, 17, 261–272.
- 523 Wei, K., and L. Wang, 2013: Reexamination of the aridity conditions in arid northwestern
- 524 China for the last decade. *Journal of Climate*, **26**(23), 9594–9602.
- Xie, Z., Y. Zhou, and L. Yang, 2018: Review of study on precipitation in Xinjiang.
 Torrential Rain & Disasters, 37(3), 204–212
- 527 Yang, L. M., 2003: Climate change of extreme precipitation in Xinjiang. Acta Geographica
- *Sinica*, **58**(4), 577-583. (in Chinese with English abstract)
- 529 Yatagai, A., K. Kamiguchi, O. Arakawa, A. Hamada, N. Yasutomi, and A. Kitoh, 2012:
- 530 APHRODITE: Constructing a long-term daily gridded precipitation dataset for Asia

- based on a dense network of rain gauges. Bulletin of the American Meteorological 531 Society, 93(9), 1401–1415. 532
- Yatagai, A., M. Maeda, M. Masuda, N. Suetou, N. Yasutomi, and S. Khadgarai, 2018: 533
- Asian precipitation highly resolved observational data integration towards 534
- evaluation of extreme events (APHRODITE-2). IPSJ Tohoku Branch SIG Technical 535
- 536 Report, 9, A2-2. (in Japanese with English abstract)
- Yatagai, A., T. N. Krishnamurti, V. Kumar, A. K. Mishra, and A. Simon, 2014: Use of 537 APHRODITE rain gauge-based precipitation and TRMM 3B43 products for
- improving asian monsoon seasonal precipitation forecasts by the superensemble 539
- method. Journal of Climate, 27, 1062-1069. 540

- Zhai, P., and X. Pan, 2003: Changes in extreme temperature and precipitation over northern 541
- China during the second half of the 20th Century. Acta Geographica Sinica, 542

58(Supplement), 1–10. (in Chinese with English abstract) 543

- Zhang, M., Y. Chen, Y. Shen, and Y. Li, 2017: Changes of precipitation extremes in arid 544
- Central Asia. Quaternary International, 436, 16-27. 545

Table 1. The contingency table of extreme precipitation detected by the APHRODITEdataset.

	Event observed	Nonevent observed	Total
Detected	Н	F	H+F
Non-detected	М	Ν	M+N
Total	H+M	F+N	n

Number of stations	Δp95		ΔEvents		POD		EDI	
	Cool	Warm	Cool	Warm	Cool	Warm	Cool	Warm
1	-2.93	-5.98	4.13	5.83	0.74	0.68	0.84	0.78
2	-2.10	-5.24	4.20	4.74	0.80	0.76	0.89	0.86
3	-1.28	-3.93	4.42	6.83	0.79	0.78	0.89	0.88
5	-3.34	-4.60	1.50	7.00	0.79	0.72	0.90	0.85

Table 2. The average biases of extreme threshold values ($\Delta p95$) and total number of extreme precipitation events ($\Delta Events$), POD and EDI stratified by the number of stations within a grid box for the cool and warm seasons.

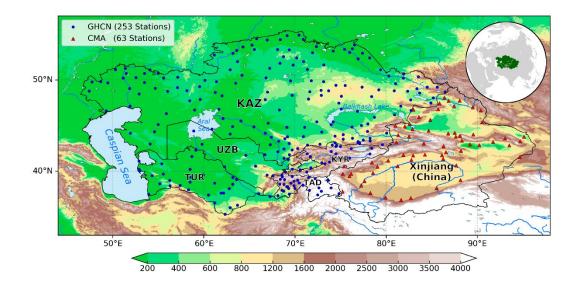
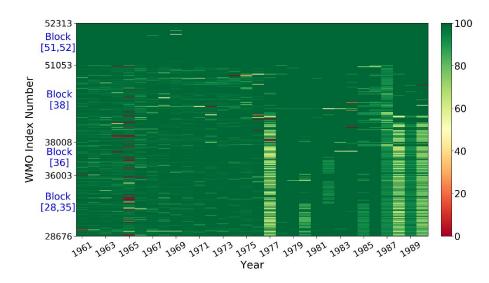


Fig. 1. Topography features and the distribution of the meteorological stations in Central Asia. Colored shading indicates the topography (units: m). Blue lines indicate the major rivers. KAZ, Kazakhstan; TAD, Tajikistan; TUR, Turkmenistan; KYR, Kyrgyzstan; UZB,

556 Uzbekistan; Xinjiang, Xinjiang Uygur Autonomous Region of China.





558 Fig. 2. The percentage of total number of days with available precipitation data in each

year for 316 gauge-observation stations in Central Asia over 1961-1990.

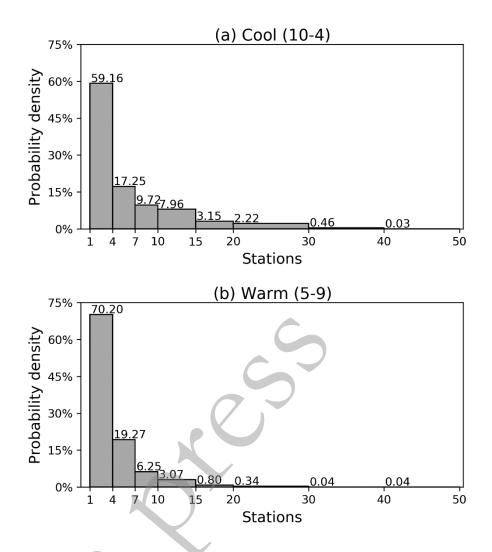


Fig. 3. PDF of extreme precipitation station numbers in each day for the (a) cool and (b)warm seasons.

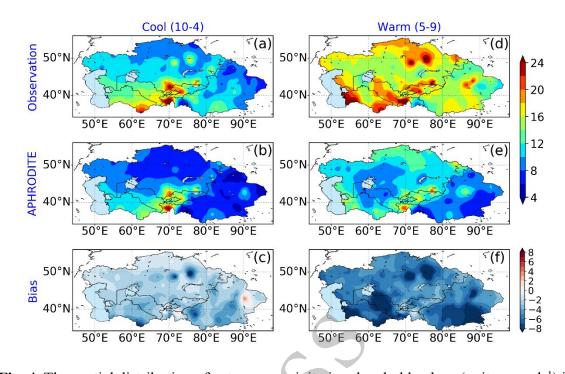
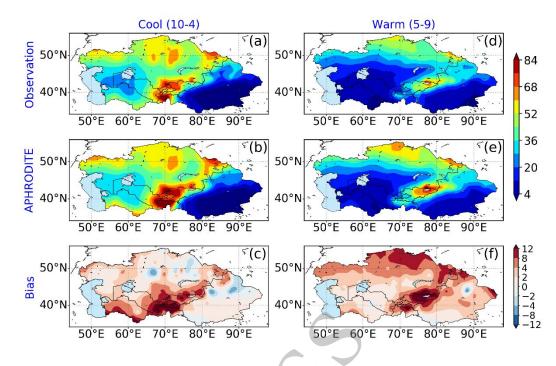


Fig. 4. The spatial distribution of extreme precipitation threshold values (units: mm d^{-1}) in the boreal cool season for (a) observations and (b) APHRODITE and (c) the bias between

567 (b) and (a). (d)–(f) as for (a)–(c), but for the warm season.



- 569 Fig. 5. As in Fig. 4, but for the total numbers of extreme precipitation events over 1961-
- 570 1990.

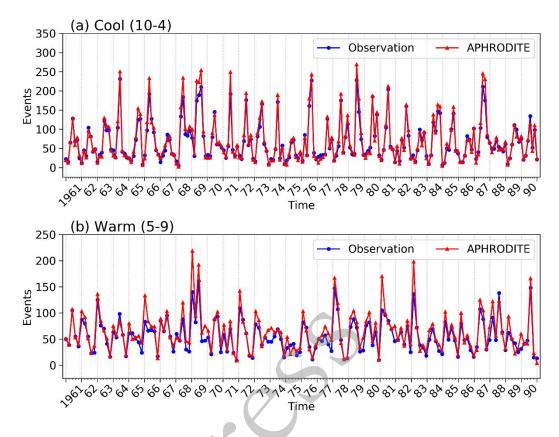


Fig. 6. Time series of the total numbers of extreme precipitation events in each month derived from the 316 gauge-observation stations (blue) and APHRODITE grid points (red)

575 for the (a) cool and (b) warm seasons.



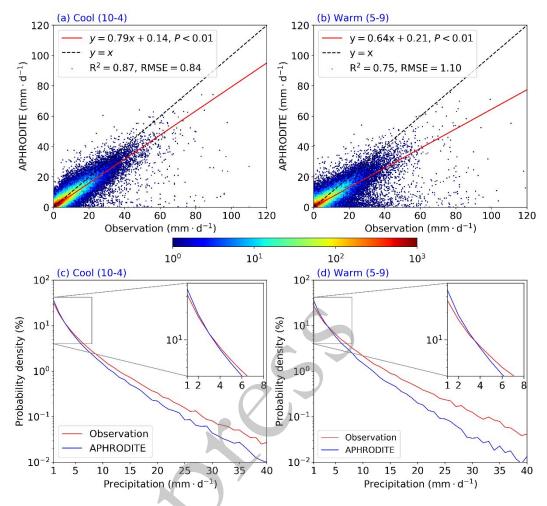


Fig. 7. Density colored scatterplots of precipitation from observations and APHRODITE during the (a) cool and (b) warm seasons. Colors indicate the total numbers and the red line denotes the linear regression between the observation precipitation and the APHRODITE precipitation. PDFs of precipitation for observation (red) and APHRODITE (blue) during the (c) cool and (d) warm season.

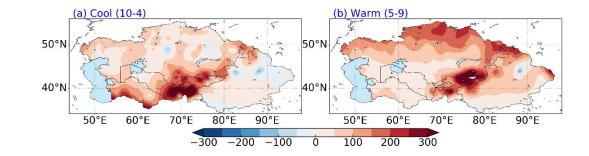


Fig. 8. The spatial distribution of biases in the total number of the wet days (>1 mm d⁻¹)
between APHRODITE and the observations in Central Asia during (a) the cool season and
(b) the warm season during 1961–1990.



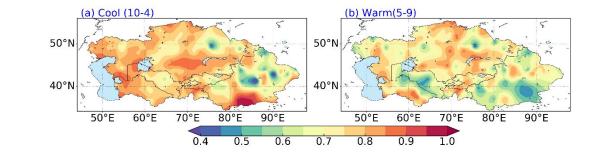
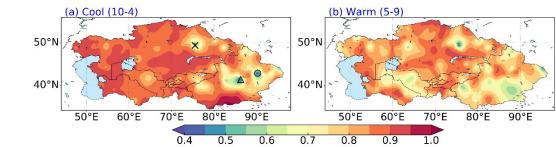


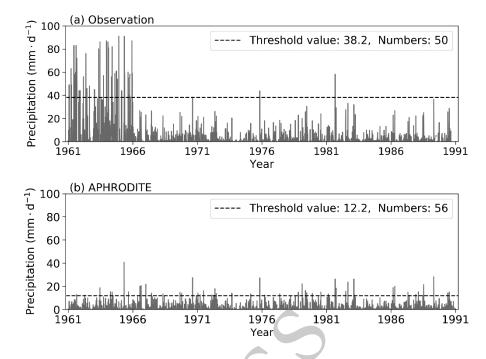
Fig. 9. The spatial distribution of the POD of extreme precipitation derived fromAPHRODITE in Central Asia during the (a) cool season and (b) warm season.



589

Fig. 10. As in Figure 9, but for EDI. Markers in (a) indicate locations of station No.36335

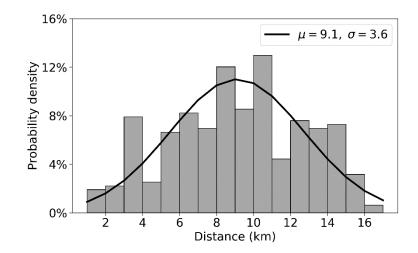
591 (cross), No.51581 (circle) and No.51655 (triangle), respectively.



593 Fig. 11. Time series of daily precipitation for (a) station number 36335 and (b) its nearest

⁵⁹⁴ grid point in APHRODITE in the warm season during 1961–1990. The dash lines represent

595 the extreme precipitation criterion.



597 Fig. 12. Histogram of distance between each observation station and its nearest grid point

598 in APHRODITE. The black line indicates the PDFs derived from a normal distribution

599 fitting method.