1	Interannual influences of the surface potential vorticity forcing over the Tibetan
2	Plateau on East Asian summer rainfall
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4	Chen SHENG <sup>1, 2</sup> , Bian HE <sup>* 1, 2</sup> , Guoxiong WU <sup>1, 2</sup> , Yimin LIU <sup>1, 2</sup> , and Shaoyu
5	ZHANG <sup>1, 2</sup>
6	<sup>1</sup> State Key Laboratory of Numerical Modeling for Atmospheric Sciences and Geophysical Fluid
7	Dynamics (LASG), Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing
8	100029, China
9	<sup>2</sup> College of Earth and Planetary Sciences, University of Chinese Academy of Sciences, Beijing
10	100049, China
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<sup>\*</sup>Corresponding author: Bian HE

Email: <u>heb@lasg.iap.ac.cn</u>.

### ABSTRACT

18 The influences of interannual surface potential vorticity forcing over the Tibetan 19 Plateau (TP) on East Asian summer rainfall (EASR) and upper-level circulation are 20 explored in this study. The results show that the interannual EASR and associated 21 circulations are closely related to the surface potential vorticity negative uniform 22 leading mode (PVNUM) over the TP. When the PVNUM is in the positive phase, 23 more rainfall occurs in the Yangtze River valley, South Korea, Japan and part of 24 northern China, less rainfall occurs in southeastern China, and vice versa. A possible mechanism by which PVNUM affects EASR is proposed. Unstable air induced by the 25 positive phase of PVNUM could stimulate significant upward motion and lower-level 26 anomalous cyclone over the TP. As a result, a dipole heating mode with anomalous 27 cooling over the southwestern TP and anomalous heating over the southeastern TP is 28 generated. Sensitivity experimental results regarding this dipole heating mode indicate 29 30 that anomalous cooling over the southwestern TP leads to local and northeastern Asian negative height anomalies, while anomalous heating over the southeastern TP 31 leads to local positive height anomalies. These results greatly resemble the realistic 32 33 circulation pattern associated with EASR. Further analysis indicates that the 34 anomalous water vapor transport associated with this anomalous circulation pattern is 35 responsible for the anomalous EASR. Consequently, changes in surface potential 36 vorticity forcing over the TP can induce changes in EASR.

17

38	Keywords: surface potential vorticity, East Asian summer monsoon, rainfall, the
39	Tibetan Plateau
40	https://doi.org/ 10.1007/s00376-021-1218-4
41	Article Highlights:
42	• Potential vorticity (PV), inherently combining dynamics and thermodynamics, is
43	an ideal indicator of the full forcing of the TP.
44	• The leading modes of EASR and upper-level circulation are closely related to the
45	surface PV forcing over the TP.
46	• We highlight that the dipole heating mode over the TP plays a critical role in the
47	process that TP's surface PV affects EASR.
48	

# **1. Introduction**

50	East Asian summer rainfall (EASR) affects East Asian countries, including
51	China, Japan, and Korea (Ding and Chan, 2005; Kubota et al., 2016; Zhou et al.
52	2019). The changes and anomalies of EASR have caused frequent and severe weather
53	disasters, including droughts, floods, and heatwaves (Huang et al., 2007; Huang et al.,
54	2019). Many studies have investigated the possible driving factors of EASR. A basic
55	consensus is that in addition to the El Niño-Southern Oscillation (ENSO) (Ding,
56	2007; Ding et al., 2020; Wang and Zhang, 2002; Wen et al., 2019; Xie et al., 2016),
57	the Indian Ocean sea surface temperature anomaly (Xie et al., 2009; Yang et al.,
58	2007), and the Indian summer monsoon rainfall (Kripalani and Kulkarni, 1997; 2001),
59	the Tibetan Plateau (TP; e.g., Ye et al., 1957; Ye and Gao, 1979; Yanai et al., 1992;
60	Liu et al., 2020) plays an important and nonnegligible role in EASR anomalies.
61	The TP, as the highest and broadest plateau on Earth, has increasingly attracted
62	the attention of climatologists due to its large dynamic and thermodynamic effects on
63	the climate of Asia. Previous studies demonstrated that the thermal forcing of the TP
64	could greatly impact the Asian summer monsoon system (e.g., Ye et al., 1957; Flohn,
65	1957; Ye and Gao, 1979; Yanai et al., 1992; Wu et al., 1997, 2007, 2012, 2018; Xu et
66	al., 2015). For example, Wu et al. (1997, 2007) indicated that sensible heating over
67	the TP is the major driver of monsoon rainfall over the Asian continent. The strong
68	surface sensible heating over the TP during boreal spring could affect the subsequent
69	summer monsoon rainfall (Zhao and Chen, 2001; Duan et al., 2005). The onset,

70	formation and evolution of the Asian summer monsoon are closely related to the
71	thermal forcing of the TP (Wu and Zhang, 1998; Hsu and Liu, 2003). Moreover, some
72	studies have examined the mechanical effects of the TP on the Asian summer
73	monsoon system. Hahn and Manabe (1975) documented that the South Asian summer
74	monsoon would not reach inland Asia if the TP is removed. More complex
75	experiments with the uplift of the TP height from zero to its contemporary height
76	(Chen et al., 1999; Liu, 1999; Kitoh, 2004; Jiang et al., 2008) indicated that the Asian
77	summer monsoon rainfall spans northward from the Indian Ocean to inland Asia.
78	Certain studies (Liu and Yin, 2002; Liang et al., 2005) illustrated that the onset and
79	evolution of the Asian summer monsoon is also sensitive to the location and height of
80	the TP. However, little insight is given about the intrinsic combined effects of
81	dynamic and thermodynamic forcing of the TP in the literature.
82	Potential vorticity (PV; Rossby, 1940; Ertel, 1942), which inherently combines
83	atmospheric dynamics and thermodynamics, is an ideal indicator to present the
84	combined dynamic and thermodynamic conditions of the TP. Most previous studies
85	have focused on atmospheric interior PV, where its impact on general circulation
86	structure (e.g., Hoskins, 1991), rainstorms and cold air activities (e.g., Wu et al. 1995;
87	Wu and Cai, 1997; Zhao and Ding, 2009) and its interannual relation to ozone
88	(Danielsen 1968; Allaart et al. 1993; Folkins and Appenzeller, 1996; Sandhya et al.
89	2015) and Rossby wave breaking (Folkins and Appenzeller, 1996; Ryoo et al. 2013;
90	Bowley et al., 2019) are the main interests. Instead of atmospheric interior PV, some

studies (e.g., Hoskins, 1991) highlight the importance of surface PV based on the

92 impermeability theorem (Haynes and McIntyre, 1987, 1999), especially the surface

93 **PV over the TP. For example**, the elevated giant TP is a prominent surface PV source

94 in the world (Sheng et al., 2021). In particular, the surface PV over the TP (TPPV)

95 could exert a prominent influence on the TP vortex (Sheng et al., 2021), rainfall and

96 extreme cold events downstream (Ma et al., 2019; Yu et al., 2019; Zhang et al., 2021).

97 Although the surface PV is of great importance, the influence of surface TPPV

98 forcing on EASR is still a knowledge gap.

99 The present paper aims to illustrate the interannual impact of the surface TPPV 100 forcing associated with the intrinsic combined effect of the dynamics and 101 thermodynamics of the TP on EASR. The remainder of the paper is organized as 102 follows. Section 2 presents the data, method, and model. In section 3, the relationships 103 of surface TPPV forcing with EASR and EASR-related circulation are presented. 104 Section 4 analyzes the possible mechanism of how surface TPPV forcing affects 105 EASR. Finally, conclusions and discussion are provided in section 5.

106 **2. Data, method and model** 

### 107 2.1 Data

108 Monthly mean data on the hybrid  $\sigma$ -p model level obtained from MERRA2 109 (Rienecker et al., 2011; Lucchesi, 2012) are used to calculate the surface PV and surface static stability. Variables include the air temperature, zonal and meridionalwind, and pressure.

112 Data used in this study also include monthly outgoing longwave radiation (OLR) 113 from the polar-orbiting series of satellites of the National Oceanic and Atmospheric 114 Administration (Liebmann and Smith 1996); monthly land precipitation data from the 115 Climatic Research Unit (Mitchell and Jones, 2005); and monthly specific humidity, 116 monthly zonal, meridional and vertical wind on the pressure level from MERRA2. 117 The study period is 1980-2017. The horizontal resolution of all MERRA2 data is  $0.625^{\circ} \times 0.5^{\circ}$  (longitude  $\times$  latitude). The horizontal resolutions of the OLR and 118 precipitation data are  $2.5^{\circ} \times 2.5^{\circ}$  and  $0.5^{\circ} \times 0.5^{\circ}$ , respectively. Climate mean values 119 120 calculated over June, July, and August (JJA) are used to represent the boreal summer 121 condition.

122 2.2 Method

123 Surface PV is calculated as follows (Sheng et al., 2021):

124  
$$PV = \alpha_h \vec{\xi}_{ah} \cdot \nabla_h \theta$$
$$= g[\frac{\partial v}{\partial p} (\frac{\partial \theta}{\partial x})_h - \frac{\partial u}{\partial p} (\frac{\partial \theta}{\partial y})_h] - g[f + (\frac{\partial v}{\partial x})_h - (\frac{\partial u}{\partial y})_h] \frac{\partial \theta}{\partial p},$$

where g is gravity, which is 9.8 m/s<sup>2</sup>; p is pressure;  $\theta$  is potential temperature; f is the Coriolis parameter; (u,v) is horizontal wind; and h indicates that the horizontal difference is carried out at the hybrid  $\sigma$ -p level. The surface PV is obtained from the 128 two bottom levels at the hybrid  $\sigma$ -p level. More details about the calculation of 129 surface PV can be found in Sheng et al. (2021).

130 The surface static stability is calculated as  $-\frac{\partial \theta}{\partial p}$ , where  $\theta$  and p are

131 obtained from the two bottom levels at the hybrid  $\sigma$ -p level.

132 The horizontal water vapor flux (WVF) is calculated as follows:

133 
$$WVF = V q = (uq, vq)$$

134 where q is specific humidity.

Statistical methods, including linear regression, linear correlation, Student's t test,
empirical orthogonal function (EOF), and multivariate EOF (MVEOF), are used in
this study. The linear trends and decadal variation (more than nine years) in the data
are removed to highlight the interannual variability.

139 2.3 Model

The linear baroclinic model (LBM) (Watanabe et al., 1999; Watanabe and 140 Kimoto, 2000) is employed to investigate the responses of the upper-level circulation 141 142 regarding the surface PV forcing over the TP. The model used in this study has 20  $\sigma$ 143 vertical levels. The horizontal level is represented by spherical harmonics with a 144 resolution of T42. More details about the model description can be found in Watanabe 145 and Jin (2003). The LBM is a time-varying model that linearizes the basic state based 146 on primitive equations. We take boreal summer climatology as the basic state in this study. Since dissipation terms including biharmonic horizontal diffusion, weak 147

148 vertical diffusion, Newtonian damping and Rayleigh friction are adopted, the model 149 response reaches its steady state at approximately 14 days. Therefore, we use the 150 results averaged from the last 15 days in the 30-day integration for analysis.

151 **3. Results** 

## 152 3.1 Leading mode of surface TPPV, EASR, and upper-level circulation

153 EOF analysis is applied to analyze the dominant temporal and spatial features of 154 the surface TPPV, EASR, and circulation over East Asia during boreal summer for 155 the 1980-2017 period. Figure 1(a) shows the first leading mode of the EOF (EOF1) on the summer surface TPPV. The variation in surface PV on the southern slope and in 156 157 the southeastern corner of the TP has the opposite sign to that in the main TP platform. Although the signs of the surface PV in the two areas are opposite, the 158 159 southern area is quite small. In general, the distribution of EOF1 on surface PV shows a negative uniform mode (PVNUM). The corresponding normalized first principal 160 161 component of the EOF pattern (PC1) is defined as the surface PV index (PVSI; red 162 line), as shown in Figure 1(d). The variance percentage explained by the first leading 163 mode is 36%.

Figure 1(b) shows the EOF1 of EASR. The corresponding normalized PC1 is defined as the precipitation index (PreI; blue dashed line), as shown in Figure 1(d). The maximum variation center of EASR occurs in the Yangtze River valley, South Korea, Japan (YKJ), and southern China. The variation in EASR in YKJ has the opposite sign to that in southern China. There is also a relatively weak dipole
variation mode in northern China. The dominant mode of EASR obtained in this study
is generally consistent with that obtained by Zuo et al. (2011).

171 Using the NCEP-NCAR reanalysis over mid-latitude Asia in JJAS (i.e., June, 172 July, August, and September) from 1948 to 1998, Wu (2002) identified an interannual 173 dominant pattern in the upper-level winds called the mid-latitude Asian summer 174 (MAS) pattern. The MAS pattern in his study features two anomalous cyclones, with 175 one centered at 37.5 °N, 65 °E and the other centered at 42.5 °N, 130 °E. Following 176 Wu (2002), we applied MVEOF analysis to the 200 hPa wind anomaly to reveal the dominant circulation mode over mid-latitude Asia during boreal summer. The domain 177 for the MVEOF analysis is 20-60 °N, 50-150 °E, and the result is not sensitive to the 178 domain. The first leading mode of MVEOF (MVEOF1) is shown in Figure 1(c), and 179 the corresponding normalized PC1 is defined as the mid-latitude Asian summer index 180 (MASI; black dashed line) shown in Figure 1(d). Figure 1(c) shows that two 181 182 anomalous cyclones are centered northwest of the TP and northeastern Asia. In between the two large cyclones is an anticyclone over the southeastern corner of the 183 TP. The anticyclone identified in this study is more prominent than that in Wu (2002), 184 185 which is partly because of the different reanalysis data and partly because of the 186 different periods. From high to low latitudes, the positive MAS generally shows a "CCA" pattern (i.e., cyclone-cyclone-anticyclone pattern). Correspondingly, the 187

188 negative MAS generally shows an "AAC" pattern (i.e.,
189 anticyclone-anticyclone-cyclone pattern).

To investigate the relationship of surface TPPV forcing with the EASR and upper-level circulation over East Asia, Figure 1(d) shows the time series of PVSI, MASI, and PreI. PVSI shows significant correlations with PreI and MASI, with yield correlation coefficients of 0.56 and 0.74 (both passing the 0.05 significance level), respectively. These results indicate that the EASR and the leading mode of circulation (i.e., MAS pattern) over East Asia are closely related to the surface TPPV forcing.

# 196 3.2 Relationship of surface TPPV forcing with EASR and associated circulation

197 Figure 2 shows the spatial pattern of the correlation coefficients between the PVSI and EASR anomalies. The pattern (Figure 2) is similar to EOF1 of EASR 198 199 (Figure 1b). A negative correlation appears in southern China, whereas positive 200 correlations are observed in YKJ. A positive correlation but with a small area appears in part of northern China. The correlation coefficients in these regions are significant 201 202 at the 0.05 significance level. A weak negative correlation is seen in the area south of 203 northern China (approximately 42 °N), but this correlation is not significant. These 204 results indicate that the positive phase of PVNUM is associated with more rainfall in 205 YJK, part of northern China and less rainfall in southern China, and the negative 206 phase of PVNUM is related to the opposite rainfall pattern.

207 To understand the comprehensive three-dimensional relationship between 208 surface TPPV forcing and EASR, the correlation patterns between the PVSI, PreI and 209 circulation anomalies at different levels are shown in Figure 3. Figures 3a-c show 210 correlation coefficients between PVSI and circulation at different levels. Corresponding to the positive (negative) PVNUM, at 200 hPa (Figure 3a), two 211 212 anomalous cyclones (anticyclones) appear at middle latitudes at approximately 40°N. 213 One center is located northwest of the TP, and the other is located in northeastern 214 China (Figure 3a). In addition to these two anomalous cyclones (anticyclones), an 215 anomalous anticyclone (cyclone) center appears at the southeastern corner of the TP. This configuration generally shows the MAS pattern (Figure 1b). Except for the 216 anomalous cyclone (anticyclone) over the northwestern TP at 850 hPa (Figure 3c), 217 this equivalent barotropic pattern can also be seen at the middle (Figure 3b) to lower 218 (Figure 3c) levels. The correlation between PreI and circulation (Figures 3d-3f) 219 closely resembles Figures 3a-c, which further confirms that surface TPPV forcing is 220 221 significantly related to EASR. In addition, comparing Figures 3a-c and Figures 3d-f reveals that the MAS pattern plays an important role in the connection between 222 223 surface TPPV forcing and EASR.

### 4. Possible mechanism of the surface TPPV forcing affecting the EASR

To investigate the possible mechanism of surface TPPV forcing affecting EASR, the LBM is employed in this section to examine the response of upper circulation regarding the anomalous heating related to surface TPPV forcing.

### 228 4.1 Diagnostic analysis

229 Surface PV is closely related to the static stability within the surface layer. Figure 4a shows the correlation coefficient between PVSI and static stability 230 anomalies. The correlation between the PVSI and horizontal wind anomalies at 231 surface and vertical motion (omega) anomalies at 500 hPa are shown in Figure 4b. 232 Corresponding to the positive phase of PVNUM, the anomalous static stability over 233 the whole TP is significantly negative (Figure 4a). This result means that the air 234 within the surface layer over the TP is anomalously statically unstable. As a result, the 235 236 anomalous omega is significantly negative, accompanied by anomalous upward motion occurring at the main body of the TP (Figure 4b). Consequently, a significant 237 anomalous cyclonic circulation is generated south of the TP at the surface (Figure 4b). 238 239 The negative phase of PVNUM corresponds to the opposite situation of surface static 240 stability and circulation pattern.

The anomalous south and north winds associated with the anomalous circulation to the south of the TP (Figure 4b) induced by surface TPPV forcing could lead to anomalous heating over the TP. Figure 5 shows the correlation coefficient between 244 PVSI and OLR (Figure 5a) and regressed rainfall anomalies against PVSI (Figure 5b) to examine the distribution of anomalous heating. A dipole mode of the OLR 245 anomalies is clearly observed in Figure 5a. Corresponding to the positive phase of 246 247 PVNUM, positive OLR anomalies cover the southwestern TP to northern India. The 248 highest negative OLR anomalies mainly occur on the eastern TP, whereas the highest 249 positive OLR anomalies mainly occur on the western TP. The anomalous cyclonic 250 circulation (Figure 4b), with cool and divergent flow to its western part and wet, 251 warm flow to its eastern part, accounts for this clear dipole mode of the OLR (Figure 252 5a). Consistent with the OLR, the rainfall anomalies also show a dipole mode (Figure 5b) with less rainfall over the southwestern TP and northern India and more rainfall 253 over the eastern TP. The rainfall anomalies indicate that the strongest negative and 254 positive condensational heating anomalies occur at the southwestern TP to northern 255 256 India and the eastern TP, respectively. Corresponding to the negative phase of PVNUM, the situation is on the opposite. 257

# 258 4.2 Sensitivity experiments

The response of upper-level circulation to the negative heating anomaly over northern India has been examined in Wei et al. (2014). Because the lower latitude of the negative heating anomaly over northern India (Figure 5b) is far away from the westerly jet (light green shading in Figure 7) at high latitudes in the north, the associated atmospheric response regarding the negative heating anomaly over northern India is trapped south of 40°N (Wei et al., 2014; see their Figure 8), exhibiting a zonal dipole mode with an anomalous cyclone to the west of 80°E and an anomalous anticyclone to the east of 80°E. There are some differences between the circulation caused by the negative heating anomaly over northern India (Wei et al., 2014; see their Figure 8) and the MAS pattern (Figure 3) related to EASR. Hence, regarding the negative heating anomalies centered on the southwestern TP and northern India, we focus on the southwestern TP in this study.

271 Based on the diagnostic analysis of heating anomalies induced by surface TPPV 272 forcing, three groups of experiments are conducted. Expl is an experiment of positive heating over the southeastern TP (Exp1, Figures 6a-b). Exp2 is an experiment of 273 negative heating over the southwestern TP (Exp2, Figures 6c-d). Exp3 is an 274 experiment of combined positive and negative heating over the southeastern and 275 southwestern TP, respectively (Exp3, Figure 6e). According to the reanalysis, the 276 heating centers are at 30°N, 99°E and 75°N, 32°E in Exp1 and Exp2, respectively. 277 278 The peak of the ideal heating profile in both the Exp1 and Exp2 experiments is 1.0 K/day and -1.0 K/day, respectively. The peaks in the heating profile are at  $\sigma$ = 0.6 and 279 280  $\sigma$ = 0.4 in Exp1 and Exp2, respectively. Since the LBM is a linear model, the heating 281 configuration (Figure 6e) and atmospheric response (Figure 7c) in Exp3 are linear 282 combinations of Exp1 and Exp2.

The atmospheric responses are shown in Figure 7. In Exp1 (Figure 7a), the positive heating anomaly over the eastern TP triggers a local anomalous anticyclone 285 and high height at 200 hPa. The anomalous high is strengthened locally with slight northward and westward dispersion. In Exp2 (Figure 7b), the negative heating 286 287 anomaly over the southwestern TP stimulates a local anomalous cyclone and low 288 height at 200 hPa. Compared with Wei et al. (2014), because the location of the 289 negative heating center over the southwestern TP is farther north than that over 290 northern India, the anomalous cyclone could trigger waves in the westerly jet and lead 291 to an anomalous cyclone downstream over northeastern China. In Exp3 (Figure 7c), 292 the response of atmospheric circulation with "CCA" is very similar to the MAS 293 pattern related to EASR (Figures 3d-f). These results indicate that the dipole heating mode induced by surface TPPV forcing can lead to a realistic MAS pattern, which is 294 295 important for the formation of the anomalous EASR.

# 296 4.3 Influence of circulation anomalies on EASR

The above results indicate that surface TPPV forcing can lead to the formation of the MAS pattern. In this section, we examine how the MAS pattern affects the EASR anomalies.

Rainfall anomalies are related to water vapor transport anomalies and their divergence at the lower level. Since atmospheric moisture is mainly concentrated at the middle to lower level, Figure 8 shows the correlation between PVSI and WVF and its divergence at 500 hPa (Figure 8a) and 850 hPa (Figure 8b). Generally, the anomalous WVF (Figure 8) shows an equivalent barotropic structure. This equivalent 305 barotropic structure markedly resembles the MAS pattern. Corresponding to the positive phase of PVNUM, the anomalous cyclonic WVF (Figure 8) over northeastern 306 307 China embedded in the MAS pattern converges water vapor over South Korea and 308 Japan and cooperating with the southern anticyclonic WVF, converges the wet, warm 309 southwesterly flow and dry, cold northerly wind to the Yangtze River valley. In 310 addition, an anomalous convergence of WVF (Figure 8) occurs in part of northern 311 China. Hence, the EASR over YKJ and part of northern China is greater than normal 312 (Figure 2). Although the WVF transfers water vapor to southern China, the WVF is 313 divergent. Thus, the EASR over southern China is lower than normal (Figure 2). Corresponding to the negative phase of PVNUM, the situation is on the opposite. 314 315 These results indicate that the WVF anomalies related to the MAS pattern are 316 responsible for the EASR anomalies.

317 **5. Conclusion and discussion** 

The present study investigates the relationship between the surface PV forcing over the TP and EASR and the associated circulation on the interannual timescale and the possible mechanism. The main conclusions obtained from the results are described as follows.

The correlation between the time series of the leading mode of the surface PV forcing over the TP (i.e., PVNUM) and EASR and the leading mode of upper-level circulation (i.e., MAS pattern) are as high as 0.56 and 0.74, respectively. Moreover, 325 the circulation related to PVNUM greatly resembles the circulation associated with EASR. These results indicated that the interannual EASR and related upper-level 326 327 circulation over East Asia are closely linked to the surface PV forcing over the TP, 328 and the MAS pattern plays an important role in the PVNUM affecting EASR. Diagnostic analysis indicates that the positive phase of PVNUM could lead to 329 330 unstable air within the surface layer over the TP. As a result, anomalous upward motion and cyclonic circulation are generated over the TP. Induced by cyclonic 331 332 circulation, a dipole heating mode with anomalous cooling over the southwestern TP 333 and anomalous heating over the southeastern TP appeared. Sensitivity experiments prove that the dipole heating mode associated with the surface PV forcing over the TP 334 can trigger the MAS pattern related to EASR anomalies. The MAS pattern converges 335 water vapor to the Yangtze River valley, South Korea, Japan and part of northern 336 China and diverges water vapor over southern China. Therefore, the EASR over the 337 Yangtze River valley, South Korea, Japan and part of northern China is greater than 338 339 normal, and that over southern China is lower. The negative phase of PVNUM is related to the opposite rainfall and circulation pattern. Consequently, the surface PV 340 341 forcing over the TP exerts a significant influence on EASR by changing the air static 342 stability within the surface layer over the TP and causing the dipole heating mode and 343 the subsequent anomalous water vapor transport related to the MAS pattern. 344 The aforementioned major mechanism is briefly shown schematically in Figure

345 9. In summary, PVNUM triggers lower-level cyclonic circulation by reducing the

# surface static stablity (Figure 9b). The dipole heaing mode induced by this cyclonic circulation could lead to anomalous circulation at the upper level (Figure 9a). As a result, the anomalous water vapor transport (Figure 8) embedded in the upper-level anomalous circulation is responsible for EASR anomalies (Figure 9c).

350 Previous studies (e.g., Wu, 2002) show that the MAS pattern is related to the 351 Indian summer monsoon. We calculated the concurrent correlation coefficient 352 between the MASI and Indian summer monsoon index (defined by Wang et al., 2001) 353 and found that the correlation coefficient reaches -0.45, passing the 0.05 significance 354 test; however, when the index of the surface TPPV forcing (i.e., PVSI, red line in Figure 1d) is removed, it is reduced to -0.28, which does not pass the significance test. 355 This result further confirms the important role of surface TPPV forcing on EASR. 356 ENSO is the most prominent interannual signal in climate systems. We also 357 calculated the correlation between the summer PVSI and NINO3.4 index (SST 358 the 359 averaged 5°S-5°N. 120°-170°W; region over https://psl.noaa.gov/data/climateindices/list/) in the preceding winter and concurrent 360 summer. The coefficients are 0.21 and -0.18, respectively, which do not pass the 361 significance test, meaning that the relationship between surface TPPV forcing and 362 EASR is unaffected by ENSO. 363

The present study only focuses on the impacts of TP on monsoon rainfall over East Asia. The complicated Asian summer monsoon system, greatly affected by the TP, includes the South Asian summer monsoon and the East Asian summer monsoon.

- 367 Research on the relationship between the PV anomaly over the TP and monsoon
- 368 rainfall as well as wind fields over the Asian region will be conducted in the future. In
- this study, although the simple model (e.g., LBM) clearly shows the impact of surface
- 370 TPPV forcing on EASR, it is still necessary to use the fully coupled model to examine
- 371 the surface TPPV-rainfall-circulation feedback to understand the interactions between
- the surface TP forcing and EASM system. Furthermore, with the help of the PV
- budget equation, the relative importance of dynamic PV advection, PV generation due
- to diabatic heating, and friction effects to the variation of surface PV will be
- 375 quantificationally answered, which will advance our understanding of the TP's
- 376 impacts greatly.
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Fig. 1. Spatial distribution of the first leading mode of variation in boreal summer for (a) surface
PV over the TP; (b) precipitation over East Asia; and (c) circulation over East Asia at 200 hPa. (d)
Corresponding normalized principal components of the first EOF mode are shown in (a), (b) and
(c). The blue line in (a, c) denotes the TP topographic boundary of 3,000 m.



**Fig. 2.** Spatial distribution of the correlation coefficients between the PVSI and rainfall anomalies

578 downstream. Areas exceeding the 0.05 significance level are highlighted with dots.





**Fig. 3.** Spatial distribution of the correlation coefficient between the PVSI and geopotential height anomalies (shading) and circulation anomalies (vector, those passing the 0.05 significance level are shown) at (a) 200 hPa; (b) 500 hPa; and (c) 850 hPa. Figures (d-f) are the same as Figures (a-c), but for PreI. Areas exceeding the 0.05 significance level are highlighted with dots. The blue line denotes the TP topographic boundary of 3,000 m.

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Fig. 4. Spatial distribution of the correlation coefficient between the PVSI and (a) surface static stability anomalies and (b) horizontal wind anomalies at the surface (vector, those exceeding the 0.05 significance test are shown) and omega at 500 hPa (shading). Areas exceeding the 0.05 significance level are highlighted with dots. The blue line denotes the TP topographic boundary of 3,000 m.

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602 Fig. 5. Spatial distribution of the (a) correlation coefficient between the PVSI and OLR anomalies

603 and (b) regressed rainfall anomalies against the PVSI (units: mm/month). Areas exceeding the

604 0.05 significance level are highlighted with dots. The blue line denotes the TP topographic

605 boundary of 3,000 m.



**Fig. 6.** Specified ideal diabatic heating (units: K/day) horizontal distribution in (a) Exp1; (c) Exp2;

and (c) Exp3. Specified ideal diabatic heating (units: K/day) profiles in (b) Exp1 and (d) Exp2.

610 The blue line denotes the TP topographic boundary of 3,000 m.



613 Fig. 7. Responses of geopotential height (shading, units: gpm) and horizontal wind (vector, units:

614 m/s) at 200 hPa in (a) Exp1; (b) Exp2; and (c) Exp3. The blue line denotes the TP topographic

615 boundary of 3,000 m. The light green shading indicates a westerly jet with a zonal wind speed

616 greater than 20 m/s at 200 hPa.



619 Fig. 8. Spatial distribution of the correlation coefficient between the PVSI and the divergence of 620 WVF anomalies (shading) and WVF anomalies (vector, those passing the 0.05 significance level 621 are shown) at (a) 500 hPa and (b) 850 hPa. Areas exceeding the 0.05 significance level are 622 highlighted with dots. The blue line denotes the TP topographic boundary of 3,000 m.



624 **Fig. 9** Schematic showing the interannual surface TPPV influence on EASR. (a) Anomalous 200 hPa

625 wind associated with surface TPPV forcing; (b) anomalous surface TPPV; and (c) same as (a) but for

- 626 rainfall anomalies. The red (blue) circle indicates diabatic heating (cooling). The green (red) vector
- 627 indicates the lower-level wind (upper-level Rossby wave propagation).