1	Macro- and micro-physical characteristics of different parts of mixed convective-				
2	stratiform clouds and differences in their responses to seeding				
3	Dejun Li <sup>1</sup> , Chuanfeng Zhao <sup>2*</sup> , Peiren Li <sup>3</sup> , Cao Liu <sup>4</sup> , Dianli Gong <sup>5</sup> , Siyao Liu <sup>2</sup> ,				
4	Zhengteng Yuan <sup>1</sup> , Yingying Chen <sup>1</sup>				
5	1 Hubei Meteorological Service Center, Wuhan, China 430205;				
6	2 College of Global Change and Earth System Science, Beijing Normal University, Beijing,				
7	China 100875;				
8	3 Weather Modification Office of Shanxi Province, Taiyuan, China 030032;				
9	4 Wuhan Central Meteorological Observatory, Wuhan, China 430074;				
10	5 Weather Modification Office of Shandong Province, Jinan, China 250031				
11					
12	Correspondence to: Chuanfeng Zhao, czhao@bnu.edu.cn				
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Abstract: This study investigates the cloud macro- and micro-physical characteristics in the 15 convective and stratiform regions and their different responses to the seeding for mixed 16 convective-stratiform clouds occurred in Shandong province on 21 May 2018, based on the 17 observations from the aircraft, the Suomi National Polar-Orbiting Partnership (NPP) satellite, 18 and the high-resolution Himawari-8 (H8) satellite. The aircraft observations show that there 19 are deeper convection and significantly enhanced radar echoes with higher tops in response 20 to seeding in the convective region. This is linked with the conversion of supercooled liquid 21 droplets to ice crystals with released latent heat, resulting in strengthened updrafts, enhanced 22 radar echoes, higher cloud tops, and then more and larger precipitation particles. In contrast, 23 in the stratiform cloud region, after the AgI seeding, the radar echoes become significantly 24 weaker at heights close to the seeding layer, with the echo tops lowered by 1.4–1.7 km. In 25 addition, a hollow structure appears at the height of 6.2–7.8 km with a depth of about 1.6 km 26 and a diameter of about 5.5 km, and response features such as icing seeding tracks appear. 27 These suggest that the transformation between droplets and ice particles was accelerated by 28 the seeding in the stratiform part. The NPP and H8 satellites also show that convective 29 activities are stronger in the convective region after seeding; while in the stratiform region, a 30 cloud seeding track with a width of 1–3 km appears 10 km downstream of the seeding layer 31 15 minutes after the AgI seeding, which moves along the wind direction as width increases. 32 33

Keywords: Airborne Ka-band Precipitation Radar (KPR), mixed convective-stratiform
 clouds, convective region, stratiform region, cloud seeding, cloud microphysical properties

#### 37 Highlights:

38 (1) More enhanced convective activities occur with higher cloud tops in response to seeding

in convective cloud region.

40 (2) Dynamic seeding mechanism involves in the convective cloud region, resulting in more

41 and larger precipitation particles.

42 (3) Conversion of liquid to ice particles is accelerated with weaker radar echoes around the

43 seeding layer in stratiform cloud region.

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## 46 1. Introduction

Water is critical to human society and the natural environment. As water resources are 47 limited, the continuously-increasing human demands have prompted considerable interests 48 in the feasibility of increasing water supply through weather modification. To increase the 49 precipitation, especially for arid and semi-arid regions, cold-cloud seeding experiments have 50 been conducted since the 1940s (Smith, 1949; Langmuir 1950; Vonnegut et.al., 1971; Hobbs 51 et al. 1981; Bruintjes 1999; Dong et al., 2020). Because the AgI has similar crystal structure 52 to ice (Vonnegut et.al., 1971), its particles can act as ice nuclei (IN) (DeMott, 1997). Thus, 53 AgI has been widely used in the cloud seeding both on the ground and in the air. The seeding 54 with AgI can increase both precipitation and snowfall, thus changing the equilibrium between 55 the water supply and demand (Xue et. al., 2013a, 2013b, 2014; Boe et.al., 2014; Jing and 56 Geerts, 2015; Jing et.al., 2015,2016). 57

58 Since weather modification has been attempted, numerous studies have been conducted 59 on the effects of cloud seeding experiments on the precipitation and cloud microphysical

characteristics over target areas (Biondini et al., 1977; Nirel et.al., 1995; Gabriel, 1999; 60 Silverman, 2001; Woodley et al., 2003a, 2004; Pokharel et al., 2015; Yao, 2019). In recent 61 62 years, with the application of airborne detection equipments, the cloud micro-physical characteristic (Lawson, et al., 2006,2008,2019; Yang et al., 2019; Zhao et al., 2019) and 63 variation in cloud responses to seeding (Heymsfield et.al, 2011; Cai et.al., 2013; Dong et.al., 64 2020) can be more accurately analyzed. By using the Advanced Very High Resolution 65 Radiometer (AVHRR) onboard the National Oceanic and Atmospheric Administration 66 (NOAA) polar orbiting satellites, Rosenfeld et.al. (2005) observed a cloud seeding track 67 formed after seeding, with a duration of 38 minutes. Through the ground-based X-band radar, 68 the airborne W-band cloud radar and other aircraft instruments, French et.al. (2018) observed 69 the process that the cloud seeding with AgI in super-cooled stratus causes the transformation 70 of surrounding supercooled water into ice crystals, followed by the deposition of water vapor 71 and the growth of ice crystals. 72

The studies mentioned above demonstrate that static seeding mechanism can play a 73 significant role (Bruinties, 1999). Under the humid, neutral or unstable atmospheric 74 conditions, the release of latent heat due to the ice formation in clouds causes nearby air 75 masses to gain buoyancy and further changes the flow field, resulting in deeper convective 76 clouds, which was known as the dynamic seeding mechanism (Simpson et. al., 1971; 77 Rosenfeld et. al., 1989). Scientists have studied the dynamic seeding mechanism with 78 experiments mainly for summer convections (from cumulus to cumulonimbus clouds). 79 However, due to the limitation of detection methods and the factors such as rapid formation 80 and dissipation of convective clouds, it was challenging to trace the changes in macro- and 81

micro-physical characteristics caused by the seeding of catalysts. Researchers have focused 82 mostly on the changes of cloud-top height and surface precipitation but not on the detailed 83 84 physical processes. Therefore, the dynamic seeding mechanism still lacks the verification through directly-observed facts (Sax et al., 1979; Hallet 1981; Woodlev et al., 1982; Orville, 85 1996). Due to the complex structural characteristics of mixed convective-stratiform clouds 86 (Lawson et.al., 2015; Lin et.al., 2019), there have been few studies related to the response of 87 different cloud parts after seeding, such as the convective and stratiform parts, as well as the 88 presence or absence of dynamic seeding effects. 89

In 2014, the University of Wyoming developed the airborne Ka-band precipitation cloud 90 radar (KPR), which is well known for its high spatio-temporal resolution with 0.1-20 µs 91 sampling time resolution and 30 m spatial resolution. This radar provides direct observation 92 of cloud property responses to seeding in the context of both macro- and micro-physical 93 characteristics (Heymsfield et. al., 2013; Pazmany et al., 2018). In addition, the Suomi 94 National Polar-Orbiting Partnership (NPP) satellite in 2011 and the Himawari-8 (H8) satellite 95 in 2014 were successfully launched successively, and the resolution of thermal infrared bands 96 of the NPP/ VIIRS (Visible Infrared Imaging Radiometer Suite Sensor) has been improved 97 by a factor of 3 compared with sensors such as the Moderate Resolution Imaging 98 Spectroradiometer (MODIS), providing unique advantages in accurately resolving small 99 convective clouds during their initial/developing stages, and also in monitoring the cloud 100 track (Hillger et al., 2013; Rosenfeld et al., 2014). In this study, to our best knowledge, for 101 the first time in China, we use the airborne KPR to monitor the evolution characteristics of 102 radar echoes before and after the seeding for a spring mixed convective-stratiform cloud 103

104 occurred in Shandong Province on 21 May 2018. Moreover, we combine the satellite 105 observations and other data to comprehensively analyze the cloud characteristics in the 106 stratiform region and the role of possible dynamic seeding mechanism in the convective 107 region.

The remainder of this paper was organized as follows. The data sources used are introduced in section 2. Section 3 presents the synoptic situation and experiment overview. Section 4 shows the main results of this study. A conceptual model of seeding at different parts of mixed convective-stratiform clouds was proposed in section 5. Finally, the conclusions and discussion are given in section 6.

113 **2. Data** 

The data used in this study are obtained from aircraft, satellite and ground observations. The experiment area for cloud detection and seeding was the region bounded by 117°E– 116 119°E, 36.5°N–37.5°N. The ground observations include the synoptic meteorological observation data in Shandong Province and 6-minute S-band Doppler weather radar data from Binzhou in Shandong on 21 May 2018. Here, the airborne data and satellite data are described.

120 2.1 Airborne data

The KingAir aircraft was used for the cloud seeding and observation. This aircraft is owned and operated by the Shanxi Province Weather Modification Office. The aircraft was equipped with instruments for measuring the cloud, precipitation and other meteorological elements, including the airborne KPR, the particle size probes from Droplet Measurement Technologies (DMT) and 20 Hz Aircraft-Integrated Meteorological Measurement System

(AIMMS-20). It was also equipped with the Beidou satellite navigation and position system 126 and a flare rack with positions for 24 flares, as shown in Fig. 1. The airborne KPR 127 (ProSensing, USA) is the Ka-band precipitation cloud radar, which scans vertically, both 128 upward and downward, and employs a data processing technique using the coherent power 129 spectrum to reduce the noise. The Cloud Droplet Probe (CDP, DMT) based on forward-130 scattering theory, has 30 size bins, with a sampling frequency of 1 Hz, a measurement range 131 of 2-50  $\mu$ m with a resolution of 1-2  $\mu$ m. It can measure particle number concentrations in the 132 range of  $0-1.0 \times 10^4$  cm<sup>-3</sup>, with the uncertainty of approximately 20% (Lance, 2012; Yang et. 133 al, 2019; Yang et. al., 2020). The Cloud Imaging Probe (CIP, DMT) has 62 size bins, with a 134 sampling frequency of 1 Hz, a measurement range of  $25-1550 \mu m$  and a resolution of  $25 \mu m$ . 135 The Precipitation Imaging Probe (PIP, DMT) has the same number of size bins and sampling 136 frequency as the CIP. However, the PIP has a measurement range of 100-6200 µm with a 137 spectral resolution of 100 µm. The specifications for main instruments used in this study are 138 listed in Table 1, including the measurement ranges, temporal resolution and the particle size 139 spectral bin resolution. Note that both cloud and precipitation particle probes are calibrated 140 in the ground laboratory before every flight. 141

142 2.2 Satellite data

The NPP VIIRS satellite observations at 05:25 UTC on 21 May 2018 are used in this 143 which is provided public 144 study, to the by the NOAA website (http://www.bou.class.noaa.gov/saa/products/welcome). It has five channels with central 145 wavelengths at 0.64, 0.865, 1.615, 3.745 and 11.45 µm, with high spatial resolution of 375 146 m. When the NPP satellite passed by the study region at 05:25 UTC on 21 May 2018, the 147

time gone away from the aicraft seeding and detection at A–B–C–D–E–F–G is about 33–28–
27–16–15–6–6 minutes.

The 10-minute full-disc data from the H8 on 21 May provided by the Data Service website (http://www.bou.class.noaa.gov/saa/products/welcome) are also used in this study. The Advanced Himawari Imager (AHI, ftp://ftp.ptree.jaxa.jp) onboard the H8 completes each full-disc scan within 10 minutes, greatly facilitating the tracking of the developing cloud seeding tracks. The H8/AHI sensor has 16 channels ranging from 0.46 to 13.3 μm. Meanwhile, the spatial resolution of visible and near-infrared channels ranges from 0.5–1 km, and the spatial resolution of infrared channel is only 2 km.

# 157 **3. Synoptic situation and experiment overview**

# 158 **3.1 Synoptic situation**

Figure 2 shows that Shandong was dominated by westerly flow at 500 hPa at 00:00 UTC 159 on 21 May 2018. There were two weak waves in the west successively moving eastward to 160 affect Shandong. At 700 hPa, there was a warm shear around Shandong in the morning, 161 causing the precipitation. Meanwhile, a significant cold shear near the Hetao region moved 162 eastward and continued to affect Shandong, with the wind direction of 300° and wind speed 163 of 11  $m \cdot s^{-1}$ , resulting in the persistent precipitation in Shandong to the afternoon of the 21st. 164 At the surface, Shandong was located at the back of the anticyclone circulation over the sea 165 during 00:00-12:00 UTC on 21 May, dominated by the easterly wind. There was a surface 166 convergence line near the flight track at that time, and the accumulated rainfall at regions 167 near the flight track was 1-7 mm during 03:00-06:00 UTC. 168

## **3.2 Overview of the seeding and detection experiment**

170	The flight track was over north-central Shandong Province, close to the northern edge of
171	central Taishan Mountains (Fig. 3a). In addition, the flight altitude was 5300 m, the flight
172	speed was 101–113 m·s <sup>-1</sup> , and the height of the freezing level was 4200 m. At 04:37 UTC,
173	the aircraft was flying at the height of 1800 m over point O1, and the KPR began to make
174	observations. At 04:45 UTC, the aircraft was circling over point O2 and ascended to 5300 m.
175	During 04:52–05:19 UTC, the aircraft performed the seeding operation along the zigzagging
176	path of A–B–C–D–E–F–G. At 04:52 UTC, 2 AgI flares were burned and released at 5300 m
177	(1 on each side) simultaneously at point A, and totally four flares (2 on each side) were burned
178	till 05:00 UTC. Note that the lasting time length for every flare is 5 minutes. Each flare
179	consists of 27 g AgI, and the nucleation rate of AgI is $1.08 \times 10^{15}$ per gram at -10°C. The AgI
180	in each flare can effectively play the role of ice nucleus at temperature below -4 $^{\circ}$ C. At 05:00
181	UTC, within the clouds with sufficient super-cooled water (abundant super-cooled water
182	particularly within the convective core part about 1-2 km below the aircraft), the aircraft
183	burned totally 8 AgI flares also at 5300 m (4 on each side) with 2 AgI flares (1 on each side)
184	burned simultaneously at a given time. The aircraft finished the seeding operation at point G
185	at 05:19 UTC. Note that short overlap time could exist between two adjacent flares, which
186	could introduce some uncertainties to the quantitative response of cloud properties to seeding.
187	Afterwards, it descended gradually from 5300 m to point I (2800 m) to conduct a backward
188	detection experiment for the seeding effect. The seeding was carried out along three lines of
189	the zigzagging flight track (A–G), with a seeding duration of 27 minutes and a seeding rate
190	of $0.6g \cdot s^{-1}$ , consuming 972 g AgI (36 flares with 27 g for each). Note that different total
191	amount of AgI flares have been released sometimes at two parts of clouds although the

released AgI amount at a given time is roughly similar, which could affect the response of clouds to the seeding and make the quantitative comparison of cloud seeding effect at two locations challenging. To minimize this impact, we mainly analyze the differences in cloud seeding effect at two locations qualitatively while some quantitative results about the changes of cloud microphysical properties will also be provided.

The aircraft returned to the point O at 05:48 UTC. To compare and analyze the situation 197 before the seeding and the backward detection results in detail, S1–S3 (04:54:30–04:55:30 198 UTC) and S4–S6 (05:01:22–05:02:22 UTC) are marked in Fig. 3a as the two periods of AgI 199 seeding. The middle points of the two seeding periods are marked as S2 and S5, respectively. 200 And the time nodes of the backward detection are determined based on the wind direction 201 and speed at the seeding layer. The purple dashed line was the line segment between S2 and 202 S5 moving at 11 m $\cdot$ s<sup>-1</sup> along the wind direction of 300°. It intersects with the line segment 203 G-H of the backward detection, with the crossover points marked as R5 and R2, respectively. 204 Taking the two points R5 and R2 as middle points, respectively, the corresponding backward 205 detection periods are determined as R4-R6 (05:26:25-05:27:25 UTC) and R1-R3 (05:24:25-206 05:25:25 UTC), respectively. Note that the magenta lines represent the parallel lines of 207 system movement with winds for the period between forward and backward flight time. 208

The cloud macro-physical characteristics during the seeding operation were obtained 0-2km above the cloud top. The temperature was between  $-5^{\circ}$ C and  $-8^{\circ}$ C, and the relative humidity was all about 100% (Fig. 3b). Note that at 04:37 UTC, a moderate-intensity turbulence occurred. The aircraft experienced light, moderate, and then severe icing, indicating that the super-cooled water content varied along the flight track.

Fig. 3c shows the cross-section of radar reflectivity along the aircraft flight track from 214 04:37 to 05:49 UTC measured by the ground radar at Binzhou. Section A-B (seen from Fig. 215 3b) of the zigzagging flight track was mostly covered with convective clouds, with 216 convective centers at the height of 3-5 km and echo centers reaching above 30 dBZ at limited 217 locations that are not clearly shown in Fig. 3c. Section C-D (seen from Fig. 3b) of the 218 zigzagging flight track was mostly covered with stratiform clouds with radar echoes below 219 25 dBZ. In addition, convection was observed during period S1–S3 (within A–B), while more 220 stratiform clouds were observed during period S4–S6 (within C–D) (Fig. 3c). 221

222 4. Analysis and Results

# 223 4.1 Evolution characteristics of airborne KPR echoes

During the two seeding periods of S1-S3 and S4-S6 as well as the corresponding 224 backward detection periods of R4-R6 and R1-R3 (Fig. 3a), the evolution characteristics of 225 the real-time radar echoes by the airborne KPR were analyzed (Fig. 4). From Figs. 3c and 4c, 226 it can be seen that the mixed convective-stratiform cloud is the target object for seeding 227 operation. The convective region and the stratiform region in the mixed convective-stratiform 228 clouds were selected for the seeding during S1-S3 and S4-S6, respectively. In addition, a 229 bright band (temperature around 0  $^{\circ}$ C) can be found within the height of 4200–4300 m. By 230 comparing Figs. 4a and 4b, it can be found that during S1-S3 the radar echoes in the 231 convective region were significantly enhanced after the seeding. An echo center of 20-35 232 dBZ appeared at the height of 2–4 km, and the echo top became dense and was uplifted by 233 0.5-1.0 km. The differences between Figs. 4d and 4e show that the echoes became 234 significantly weaker above the seeding layer (5200 m) after the seeding operation in the 235

stratiform region during S4–S6, and an hollow structure of echoes appeared at the height of 236 6.2-7.8 km, with the largest depth reaching about 1.6 km and a diameter of about 5.5 km 237 (calculated as follows: 05:24:30–05:25:20 UTC  $\rightarrow$  50 s  $\times$  110 m·s<sup>-1</sup> = 5.5 km). The 238 mechanism that the hollow structure forms will be discussed in section 5. In addition, the top 239 of the hollow part was covered by a thin layer with echoes being -5 dBZ (Fig. 4e). By 240 comparing Figs. 4a and 4b as well as Figs. 4d and 4e, it shows that the echoes were 241 significantly enhanced at the height of 2-4 km at time 22-32 minutes after the seeding 242 operation, with the enhancement amplitude exceeding 5 dBZ in all areas. In addition, a strong 243 echo center of 35 dBZ appeared in the convective region. 244

Figure 5 shows the contoured frequency by altitude diagrams (CFADs) of the radar 245 reflectivity Ze for the two seeding periods. The CFADs explain the joint probability 246 distribution function of height and reflectivity and represent the frequency distribution in a 247 coordinate system of reflectivity bins (x axis) and altitude (y axis). In each CFAD, the 248 distribution was normalized by dividing the observed frequency by the maximum frequency 249 for all height - reflectivity bins, to compare the CFADs among different cases. During S1-250 S3, the differences of the echoes in the convective region between before and after the 251 seeding show that the echoes became stronger with a denser layer at heights within 3 km 252 around (both above and below) the seeding layer, and the echo top height increased by 1-2253 km. In addition, the median Ze was 5-7 dBZ larger than that before the seeding operation, 254 and the echo near the surface increased to 28 dBZ from 12 dBZ (Figs. 5a and 5b). In contrast, 255 Figs. 5c and 5d show that the echoes in the stratiform region got significantly weaker at 256 heights within 2–3 km around (both above and below) the seeding layer after the seeding 257

during S4–S6. In addition, the median Ze was 2–3 dBZ lower than that before the seeding, 258 and the echo top height decreased by 1.4–1.7 km. Before the seeding operation, the median 259 Ze reached the peak of 21.2 dBZ at the height of 3.5 km, and the Ze decreased with increasing 260 height above this level while decreased with decreasing height below this level, falling to 9 261 dBZ at the surface. During the period R1–R3, the variation trend of the Ze median value was 262 similar to that before the seeding. However, the peak Ze value of 19.7 dBZ appeared at about 263 2.1 km, and Ze was about 8.5 dBZ near the surface, which were both smaller than those 264 before the seeding. We would like to mention that natural evolution could also play some 265 roles to the variation of cloud properties indicated here and other places over seeded area, 266 which are difficult to separate and further discussed later in discussion section. 267

Figure 6 shows the CFADs of the Doppler radar velocity, with the same analysis method 268 as shown in Fig. 5. The range of Doppler radar velocity widens after the seeding. Specifically, 269 the velocity changes from  $3-5 \text{ m} \cdot \text{s}^{-1}$  to  $5-8 \text{ m} \cdot \text{s}^{-1}$  in the convective region, and from 3-5270  $m \cdot s^{-1}$  to 4–7  $m \cdot s^{-1}$  in the stratiform region. Besides, the median, 25th and 75th percentiles of 271 the Doppler radar velocity are very close to each other before the seeding, but after the 272 seeding, the intervals between them distinctly increase. This implies that the micro-physical 273 processes play a role. After the seeding operation, the AgI was rapidly nucleated. In addition, 274 in the environment with relatively abundant super-cooled water, the Bergeron and collision-275 coalescence processes are accelerated, which favors the formation of large-size precipitation 276 particles. Therefore, the particle spectrum was broadened, leading to different falling 277 velocities of particles and a significant broadening of the velocity spectrum. As for the 278 CFADs of Doppler radar velocity, there was a broadening of the overall Doppler radar 279

velocity range and a significant increase in the terminal velocities of precipitation particles. About 30 minutes after the seeding in the convective region, the median terminal velocity increases from 0.5 m·s<sup>-1</sup> to 5.0 m·s<sup>-1</sup> in the convective region and from 1.0 m·s<sup>-1</sup> to 1.25 m·s<sup>-1</sup> in the stratiform region.

## 4.2 Analysis of cloud microphysical properties from aircraft observations

The above analysis of airborne KPR data shows that the seeding operation over different 285 parts of the mixed convective-stratiform clouds leads to different evolutions of cloud macro-286 physical characteristics. In this section, we focus on the evolutions of micro-physical 287 characteristics after seeding in the convective parts of cloud and the stratiform parts of cloud. 288 However, we should note that the aircraft did not pass through the convective core part for 289 safety consideration. Instead, it went through the upper part of the convective region and 290 made the measurements of cloud microphysical properties there. This would result in 291 relatively low liquid water content and small droplet number concentration over the 292 convective region. Thus, the analysis here mainly focuses on the evolutions of micro-physical 293 characteristics after seeding over two regions, rather than their comparisons. 294

As shown in Figs. 7a–7c, before the seeding, the convective cloud during S1–S3 and the stratiform cloud during S4–S6 were mainly composed of super-cooled droplets. Specifically, the average diameter from the CDP was 7.4  $\mu$ m during the period S1–S3, and the liquid water content (LWC) was 0.04 g·m<sup>-3</sup>, with a concentration of 25.5 cm<sup>-3</sup>. During S4–S6, the average diameter from the CDP was 7.5  $\mu$ m, and the LWC was 0.09 g·m<sup>-3</sup>, with a concentration of 79.6 cm<sup>-3</sup>. The CIP concentrations were lower in both cloud regions, and the ice water contents (IWC) were also lower (4.0×10<sup>-6</sup> and 5.7×10<sup>-5</sup> g·m<sup>-3</sup>, respectively). The diameters

of graupel particles and dendritic snow crystals in the stratiform cloud were larger than those 302 in the convective cloud. After the seeding operation, the mean concentration, diameter and 303 LWC from the CDP in both regions decreased significantly. In contrast, the mean 304 concentration and diameter from the CIP and the IWC increased significantly. Therefore, 305 snow crystals and droplets with diameters above 300 µm appeared, and the PIP concentration 306 also increased by one order of magnitude. The CIP in Fig. 7d shows that the cloud was mainly 307 composed of graupel particles and columnar ice crystals before the seeding. While after the 308 seeding, it was noted that on the ice crystal surface there was a gas-phase riming process to 309 form dendritic snow crystals, causing the coexistence of ice crystals and rimed snow crystals. 310 For the convective cloud during S1–S3, Fig. 8 shows that the mean concentrations from 311 the CDP, CIP and PIP changed from 212.8  $L^{-1}$ .µm<sup>-1</sup>, 0.017  $L^{-1}$ .µm<sup>-1</sup> and 1.04×10<sup>-6</sup>  $L^{-1}$ .µm<sup>-1</sup> 312 to 139.2 L<sup>-1</sup>. $\mu$ m<sup>-1</sup>, 0.005 L<sup>-1</sup>. $\mu$ m<sup>-1</sup> and 1.64×10<sup>-4</sup> L<sup>-1</sup>. $\mu$ m<sup>-1</sup> after the seeding operation, 313 respectively. The particle size from the CDP peaked at 5.5 µm both before and after the 314 seeding; and the size of large-size cloud particles, i.e., the particle size recorded by the CIP, 315 increased with maximum value changing from 225 µm to 1250 µm after the seeding. 316 Moreover, the maximum precipitation particle size increased from 400 µm to maximum 317 measurable size, 6200 µm. For the stratiform cloud during S4–S6, the mean concentrations 318 from the CDP, CIP and PIP changed from 561.7  $L^{-1}$ .µm<sup>-1</sup>, 0.09  $L^{-1}$ .µm<sup>-1</sup> and 1.0×10<sup>-8</sup> 319 L<sup>-1</sup>.µm<sup>-1</sup> to 210.5 L<sup>-1</sup>.µm<sup>-1</sup>, 0.05 L<sup>-1</sup>.µm<sup>-1</sup> and 3.57×10<sup>-4</sup> L<sup>-1</sup>.µm<sup>-1</sup> after the seeding, 320 respectively. In addition, the maximum precipitation particle size increased from 700 µm to 321 5700 µm. The concentrations from the CDP and CIP decreased significantly after two seeding 322 operations. However, the PIP concentration increased by 2-4 orders of magnitude, and its 323

maximum particle size increased by 8-15 times. Together with Fig. 7d, it can be found that 324 there are deposition processes to form dendritic snow crystals on the surface of ice crystals. 325 It suggests that after seeding the AgI, the transformation of water from liquid to ice phase 326 was accelerated, and the transformation was quite efficient. Note that the aircraft observation 327 locations during S1-S3 are about 1-2 km above the convective core, which cannot represent 328 the convective cloud properties reliably, thus the differences in cloud microphysical 329 properties measured by aircraft between regions S1-S3 and S4-S6 cannot indicate anything 330 about cloud response differences between convective and stratiform parts. Instead, as 331 indicated earlier, the information from aircraft is mainly used for investigation of temporal 332 evolution of cloud properties by seeding. The differences between Figs. 6a and 6b show that 333 the upward Doppler velocity at the height of 1.0-4.5 km in the convective cloud increased 334 from 0–0.5 m·s<sup>-1</sup> to 3–5 m·s<sup>-1</sup>. The larger upward velocity favors the upward transport and 335 condensation of water vapor. During this process, updrafts may also carry the AgI to higher 336 levels where there are lower temperature, greater supersaturation and greater super-cooled 337 water content. Note that the latent heat released by the deposition of supercooled water 338 enhances the convective cloud development further because this heat release can lead to 339 stronger updrafts and higher cloud tops. These factors accelerate the Bergeron and collision-340 341 coalescence processes to form large-size precipitation particles after seeding of the AgI, thereby increasing the precipitation (Simpson et.al., 1971; Sax et al., 1979; Woodley et al., 342 1982; Rosenfeld et.al., 1989; Bruintjes, 1999). 343

# **4.3 Evolution of seeding-producing ice monitored by satellites**

345 (1) Analysis of NPP observations

To analyze the characteristics of the microphysical structure of cloud tracks in detail, 346 the high resolution data from the NPP/Visible Infrared Imaging Radiometer Suite sensor at 347 05:25 UTC on 21 May 2018 are processed based on microphysical principles (Figs. 9a-9b). 348 The flight tracks are colored in green, superimposed on the surrounding super-cooled water 349 cloud which was shaded in yellow and orange. Figs. 9a–9b show that there was a clear cloud 350 seeding track (referred to as the cloud track) on the cloud top along a line (around the dashed 351 line in Fig. 9c) which is almost parallel to the section C-D in the flight track, and the cloud 352 track extended about 15 km away from point C. Actually, the dashed line in Fig. 9c is around 353 the location where section C-D moved to after 28-7 minutes of cloud seeding when the 354 satellite passed by. The visible channel in Fig. 9b shows a southwest-northeast oriented 355 seeding track 15–20 km southeast of section C–D. It indicates that the super-cooled water in 356 the cloud was at least partially glaciated into ice particles, and the cloud particles became 357 larger and sank, thus lowering the cloud top. In Fig. 9a, the shallow depth in cross-section 4 358 and the almost zero depth in cross-section 2 may be caused by the veiling of newly-formed 359 thin super-cooled water cloud with small particle size. Fig. 9c shows that the section C–D, 360 which had moved along the wind direction of  $300^{\circ}$  at a speed of 11 m·s<sup>-1</sup> for 7–28 minutes 361 from the original positions, was just inside the cloud track during 04:58:42–05:09:04 UTC. 362 This result was consistent with the above analysis. Moreover, the brightness temperature 363 (TBB) during the period R1–R3 was significantly higher than that during the period R4–R6, 364 indicating the strong convective activity in the convective cloud region. 365

366 (2) Analysis of the H8 satellite data

367 To t

To track the evolution and movement of the cloud tops after the seeding operation, the

high-resolution data from the H8 satellite are adopted for further examination. The 10-minute 368 TBB at 12 µm channel from the H8 satellite (Fig. 10) shows that the convective clouds in 369 section A–B became blocky after the seeding operation during 04:52–04:57 UTC, and the 370 TBB gradually decreased (note that seeded "A-B section" moves downwind with time), 371 indicating the stronger convective activity. The stratiform cloud in section C-D for seeding 372 operation was located in the front of a large block of mixed convective-stratiform clouds 373 during 04:58–05:09 UTC. About 15 minutes after the seeding, a seeding track with a width 374 of 1–3 km appeared 10 km downstream the seeding layer. Another 7 minutes later, a cloud 375 seeding track with a width of 3-5 km was found at locations about 15-20 km downstream 376 the seeding layer and continued to move southeastward at 11  $\text{m}\cdot\text{s}^{-1}$  along the wind direction 377 of 300°. At 05:50 UTC, the seeding track already moved out of the flight area. Moreover, the 378 seeding track below section G-H became narrow after its formation, which is likely due to 379 the diffusion of the water cloud near the seeded volume to the cloud track center. It is also 380 likely associated with the coverage of the newly-formed thin super-cooled water cloud with 381 quite small particle size. 382

To examine the evolution trend of the cloud seeding tracks more clearly, the every-10minute moving-downstream trajectory of section C–D along the wind direction of 300° at 11 m·s<sup>-1</sup> was calculated. Then, the TBB values at 12-µm channel from the H8 satellite for each moving trajectory were extracted, and the boxplot of the TBB values was further obtained, as shown in Fig. 11. The 50th percentile of the TBB values shows a gradually increasing trend with time with a linear fitting line of  $TBB = 0.5068 \times \Delta T + 234.87$ , where  $\Delta T$  is the number of time intervals from 05:00 UTC with the interval being 10 minutes. Note that the correlation coefficient between the 50th percentile of TBB and  $\Delta T$  was 0.87. The TBB had increased by 4.1 K until 06:00 UTC, which indicates that the seeding track became more and more obvious, along with significant increases in its width and depth. Besides, the TBB decreased slightly at 05:40 UTC, which was likely attributed to the coverage of the newlyformed thin super-cooled water cloud. This result was consistent with the conclusions above.

## **5.** Conceptual model for seeding operations of the mixed convective-stratiform clouds

Based on the above analysis results, a conceptual model of the AgI seeding for mixed 396 convective-stratiform clouds is proposed, as shown in Fig. 12. Before the seeding operation 397 (Fig. 12a), there are few ice crystals but many small cloud droplets in the two parts. In 398 addition, there is a strong updraft in the convective region, resulting in the appearance of 399 convective core. After the seeding of AgI (Fig. 12b), the ice crystals, snow crystals and 400 precipitation particles rapidly increase in both parts. Moreover, due to the potential dynamic 401 seeding mechanisms, enhanced updraft appears near the convective core, leading to a 402 significant enhancement of convections. 403

The model shows distinct responses of different parts in mixed convective-stratiform 404 clouds to the seeding operation. Specifically, the convective region has more vigorous 405 convective activities after the seeding operation, with significantly enhanced echoes and 406 higher echo tops. In addition, the dynamic seeding mechanism may also be involved, favoring 407 the growth of particle size and broader full-spectra. The concentration of precipitation 408 particles is higher and thus more precipitation forms. In contrast, after the seeding of AgI in 409 the stratiform region, the transformation between droplets and ice crystals is accelerated, 410 causing the surrounding super-cooled water to condense into ice crystals. Subsequently, the 411

412 ice crystals grow up and fall under the effects of the Bergeron and collision-coalescence 413 processes. At that time, the echoes significantly weaken at heights within 2-3 km around the 414 seeding layer, with the echo top lowered, and obvious icing seeding tracks with a hollow 415 structure appeared. Eventually, large-size particles fall onto the ground as surface 416 precipitation. In this process, the static seeding mechanism plays the crucial role.

417 **6.** Conclusion and discussion

In this study, based on observations from the airborne KPR, NPP satellite and highresolution H8 satellite, the cloud macro- and micro-physical characteristics as well as responses to the seeding operation in the convective and stratiform regions for a mixed convective-stratiform cloud occurred in Shandong during spring are analyzed. The main conclusions are as follows.

Based on the in-situ aircraft (equipped with the KPR) observations, different physical 423 responses to the seeding in the convective and stratiform regions of the mixed convective-424 stratiform clouds are tracked and investigated. In terms of the convective region, the radar 425 echoes became stronger with a denser depth, and the echo top height increased by 0.5-1.0426 km. The median Ze was 5–7 dBZ higher than that before the seeding, and the near-surface 427 Ze increased to 28 dBZ from 12 dBZ. The concentrations from the CDP and CIP both 428 decreased significantly. However, the PIP concentration increased by 2-4 orders of 429 magnitude, and the maximum size of precipitation particles increased from 400 µm to 6200 430 µm by more than 15 times. It implies that the AgI seeding in the convective region accelerates 431 the formation of large-size precipitation particles by the Bergeron, riming and collision-432 coalescence processes. In the stratiform region, there were obvious icing seeding tracks after 433

the seeding operation. The echoes became significantly weaker at heights within about 2-3 434 km around the seeding layer, and the echo top height was lowered by 1.4–1.7 km. The median 435 Ze was 2–3 dBZ lower than that before the seeding. In addition, a hollow structure of the 436 echoes appeared within the height of 6.2–7.8 km, with the largest depth reaching about 1.6 437 km, a diameter of about 5.5 km and a duration of 1 hour. The size of precipitation particles 438 increased from 700 µm to 5700 µm by more than 8 times. Moreover, there were gas-phase 439 riming process to form dendritic snow crystals on the surface of ice crystals, causing the 440 coexistence of ice crystals and rimed snow crystals. This phenomenon indicates that after 441 seeding the AgI, the transformation between cloud and water was accelerated, which was 442 quite complete and efficient. 443

The tracking and monitoring by the NPP and H8 satellites show that the convective clouds became blocky after the seeding. In addition, the TBB decreased, indicating stronger convective activities in the convective region. 15 minutes after seeding the AgI in the stratiform region, a cloud seeding track with a width of 1–3 km appeared 10 km downstream the seeding layer. Another 7 minutes later, a cloud seeding track with a width of 3–5 km appeared 15 km downstream the seeding layer, and moved southeastward at the speed of 11 m·s<sup>-1</sup> along the wind direction of 300° for 1 hour.

451 Comparing the physical responses to the seeding in two regions, it is found that in the 452 convective region there were significant upward Doppler radar velocities of  $3-5 \text{ m} \cdot \text{s}^{-1}$  at the 453 heights of 1.0-4.5 km, which are favorable for the upward transport and further condensation 454 of the water vapor. Moreover, the updrafts of convections may also carry the AgI to higher 455 levels where there are lower temperature, higher supersaturation and higher supercooled

water content. Note that the latent heat released by the condensation of supercooled water 456 should enhance the effect of seeding on the convective cloud further, because this heat release 457 458 can lead to stronger updrafts and higher cloud tops. These factors may result in the formation of large-size precipitation particles by accelerating the effects of Bergeron and collision-459 coalescence processes after seeding the AgI, thereby increasing the precipitation. However, 460 in the stratiform region, the static seeding mechanism played the dominant role. That is, the 461 surrounding supercooled water condensed into ice crystals by seeding the AgI, and then 462 through the deposition process the ice crystals grew up and fell onto the ground as surface 463 precipitation. 464

A challenging question we should note is the relative contribution of cloud seeding and natural variability to the observed phenomenon after cloud seeding. In principle, it is almost impossible to answer from observational view since we do not have two same clouds to compare between with and without cloud seeding. Thus, we here have simply attributed the cloud property changes mostly to cloud seeding, which warrants further investigation in future with mesoscale weather model simulations.

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#### 483 Data Availability Statement

484 The data used in this study are available in this link 485 (https://pan.baidu.com/s/1wwwCLKjTGy HxD XdQFJd4Q).

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#### 626 Figures

Figure 1. Photos of the KingAir aircraft probes, including AgI flares equipment, Ka-band
cloud radar (KPR), cloud combination probe (CCP), cloud imaging probe (CIP), precipitation
image probe (PIP), 20 Hz Aircraft-Integrated Meteorological Measurement System
(AIMMS-20), Passive Cavity Aerosol Spectrometer Probe (PCASP), cloud condensation
nuclei (CCN) counter and Hot-Wire Liquid Water Content Sensor (LWC-100).

Figure 2. Synoptic weather situation along with the surface meteorology observations on 21 632 May 2018. (a) Geopotential height at 500 hPa and the wind at 700 hPa at 00:00 UTC, with 633 the thick purple lines representing the weak waves and red dotted lines representing 634 warm/cold shear lines. (b) Surface map that shows weather conditions using the standard 635 synoptic symbols and rainfall at 06:00 UTC. The turning points of the seeding track are 636 denoted by the characters O–A–K, and O points marks Jinan Yaoqiang Airport. The seeding 637 area was carried out along the magenta lines of the zigzagging flight track (A-G), and the 638 other magenta lines (O–A and G–O) were the detection area. 639

Figure 3. (a) Region DEM and the aircraft flight track from 04:37 to 05:49 UTC on 21 640 October 2018. The colored line was the flight track, the black box was the location of Binzhou 641 radar, and the letters A-I and red points are the flight turning points. Note that the magenta 642 lines represent the parallel lines of system movement with winds for the period between 643 forward and backward flight time. (b) The characteristics of flight height (black line), in-644 cloud (red dot), flight speed (light blue), temperature (blue lines), relative humidity (green 645 line) parameters from 04:37 to 05:49 UTC. (c) Vertical cross-section of radar reflectivity 646 along the aircraft flight track from 04:37 to 05:49 UTC measured by the ground radar at 647 Binzhou. The blue line was the flight track. 648

- 649 Figure 4. Evolution characteristics of real-time airborne KPR radar echoes during (a) S1–S3,
- (b) R4–R6 (30–32 minutes after the seeding in S1–S3), (c) the whole detection period, (d)
- 651 S4–S6, and (e) R1–R3 (22–24 minutes after the seeding in S4–S6). The positions pointed by
- the blue arrows are points S2 and S5 before the seeding operation, and the positions pointed
- by the red arrows are the points R5 and R2 after the seeding operation.
- Figure 5. Evolutions for the CFADs of the radar reflectivity factors during (a) S1–S3, (b)
- R4–R6, (c) S4–S6 and (d) R1–R3. Green, black and purple dashed lines represent the 25th
- percentile, median and 75th percentile respectively, and the blue dashed line represents the
- 657 freezing level and the red dashed line represents the seeding level.
- Figure 6. Same as Fig. 5, but for the Doppler radar velocity. The positive velocity indicatesdownward motion and the negative velocity indicates upward motion.
- 660 Figure 7. Evolution of each microphysical variable at different operational time during the
- horizontal flight stage at 04:52:30-05:28:30 UTC. (a) LWC and IWC. (b) CDP, CIP, and PIP
- measured cloud particle concentration. (c) the particle size from CDP, CIP, and PIP. (d) CIP
- 663 measured cloud particle image.
- Figure 8. Cloud particle size spectra during (a) S1–S3 and R4–R6 in convective cloud, and during (b) S4–S6 and R1–R3 in stratiform cloud. The black line segments are the measurements during the seeding operation, and the blue segments during the detection period. The squares denote data from the CDP, from the CIP and triangles from the PIP.
- Figure 9. S-NPP VIIRS microphysical seeding track over central China at 05:25 UTC on 21
- 669 Oct 2018. The aircraft flew eastward so that the seeding track becomes older from right to
- 670 left. (a) The red color composite for the visible reflectance, green for the 3.7 μm reflectance,

and blue for the 10.8 µm brightness temperature. Note that the six blue lines except the one 671 labeled with "4" represent the moving tracks of cloud parts seeded (at location 1–6 except 4) 672 with winds; and the blue line labeled with "4" represents the flight track in which aircraft 673 measured the response of cloud properties after seeding for locations between 4 and 5 and 674 between A and B (such as S1–S6 as shown in panel c). (b) 0.6 µm reflectance. (c) TBB at 675 12-µm channel with black line for the flight track and blue dotted line for the flight track 676 moved along the wind direction of 300° after 7–28 minutes from the original position A–H. 677 Figure 10. Evolution characteristics of the 10-minute TBB at 12-µm channel from H8 satellite 678 during (a-h) 04:50-06:00 UTC. Blue dashed lines are the actual flight track, and letters A-679 K are turning points. 680

Figure 11. Evolution characteristics of the 10-minute TBB at 12  $\mu$ m channel from H8 satellite extracted along the moving section C–D. The solid line inside the box represents the 50th percentile, the lower line was the 25th percentile, the upper line was the 75th percentile, the dotted line was the mean value, and the lower and upper whiskers denote the 10th and 90th percentiles, respectively.

Figure 12. Conceptual model of seeding AgI at different parts of the mixed convectivestratiform clouds. The left panel shows the situation before seeding, and the right panel shows that after seeding. Green solid line was the flight track, and black dashed line was the freezing level. Signs such as particle category and airflow are shown in the legend.

690 Tables

Table 1. The main instruments and their detection variables used in this study, along with the

measurement ranges, spatio-temporal resolution and the particle size spectral bin resolution.



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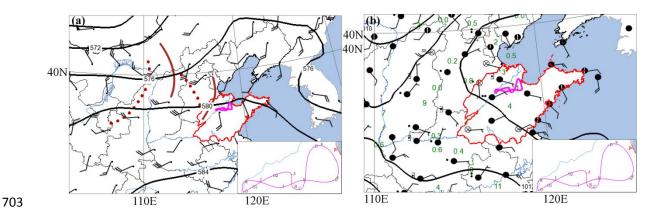
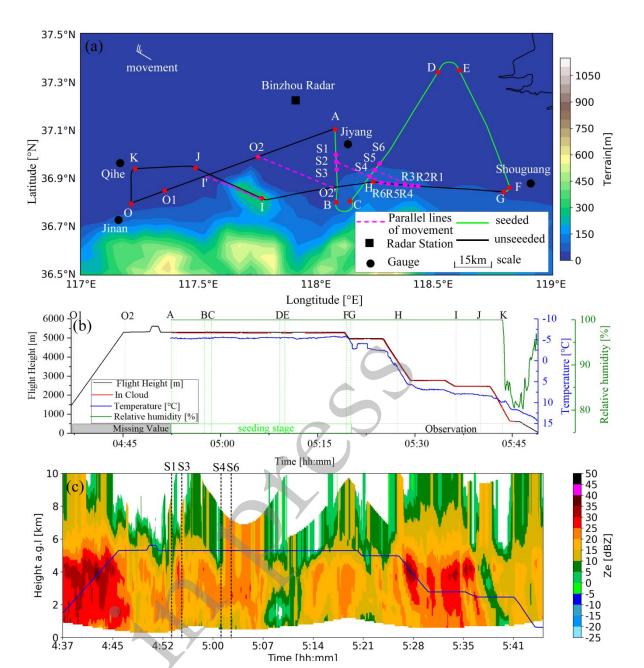


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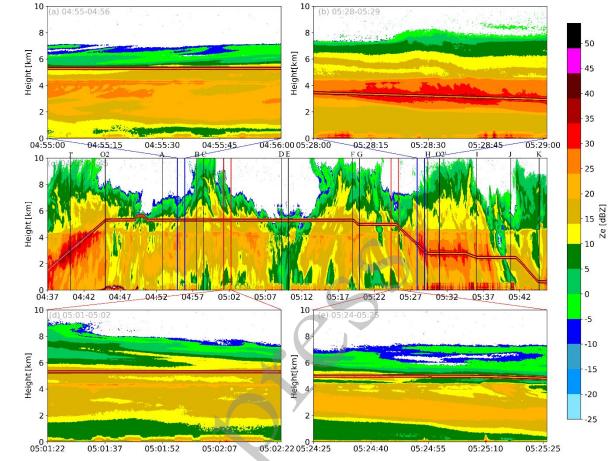
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723 Binzhou. The blue line was the flight track.

Figure 4. Evolution characteristics of real-time airborne KPR radar echoes during (a) S1–S3, (b) R4–R6 (30–32 minutes after the seeding in S1–S3), (c) the whole detection period, (d) S4–S6, and (e) R1–R3 (22–24 minutes after the seeding in S4–S6). The positions pointed by the blue arrows are points S2 and S5 before the seeding operation, and the positions pointed by the red arrows are the points R5 and R2 after the seeding operation.

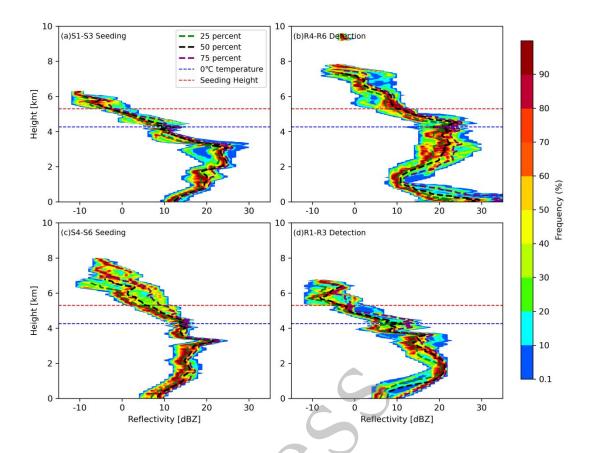




Figure 5. Evolutions for the CFADs of the radar reflectivity factors during (a) S1–S3, (b)
R4–R6, (c) S4–S6 and (d) R1–R3. Green, black and purple dashed lines represent the 25th
percentile, median and 75th percentile respectively, and the blue dashed line represents the
freezing level and the red dashed line represents the seeding level.

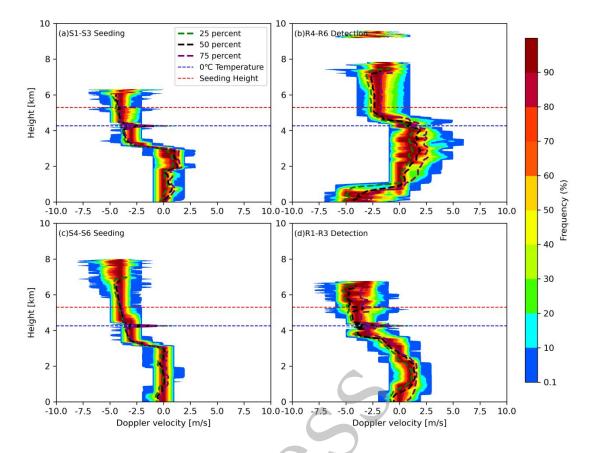


Figure 6. Same as Fig. 5, but for the Doppler radar velocity. The positive velocity indicates

downward motion and the negative velocity indicates upward motion.

740

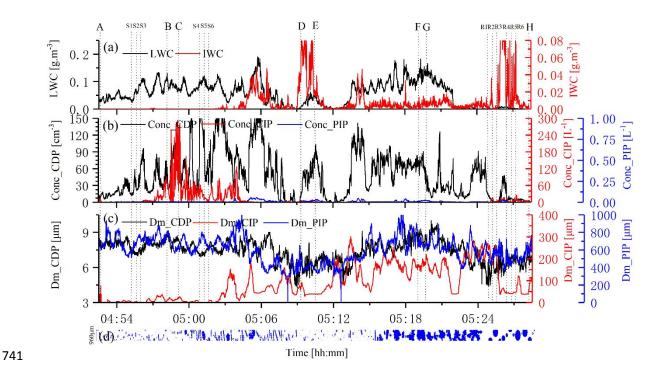
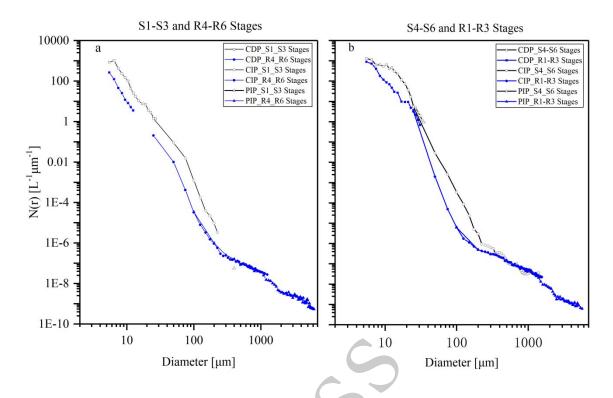


Figure 7. Evolution of each microphysical variable at different operational time during the
horizontal flight stage at 04:52:30-05:28:30 UTC. (a) LWC and IWC. (b) CDP, CIP, and PIP
measured cloud particle concentration. (c) the particle size from CDP, CIP, and PIP. (d) CIP
measured cloud particle image.



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Figure 8. Cloud particle size spectra during (a) S1–S3 and R4–R6 in convective cloud, and during (b) S4–S6 and R1–R3 in stratiform cloud. The black line segments are the measurements during the seeding operation, and the blue segments during the detection period. The squares denote data from the CDP, from the CIP and triangles from the PIP.

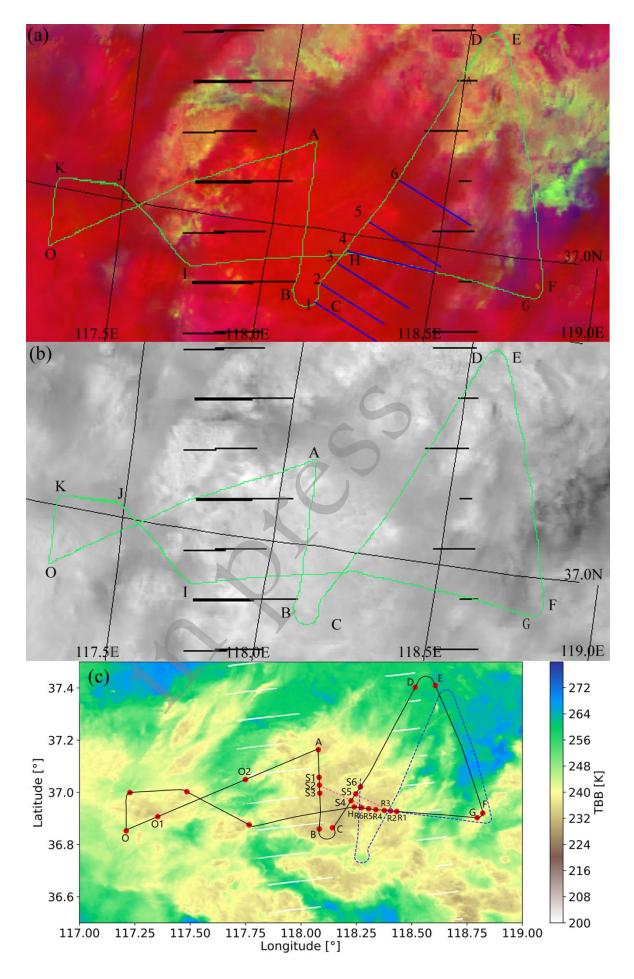


Figure 9. S-NPP VIIRS microphysical seeding track over central China at 05:25 UTC on 21 754 Oct 2018. The aircraft flew eastward so that the seeding track becomes older from right to 755 left. (a) The red color composite for the visible reflectance, green for the 3.7 µm reflectance, 756 and blue for the 10.8 µm brightness temperature. Note that the six blue lines except the one 757 labeled with "4" represent the moving tracks of cloud parts seeded (at location 1–6 except 4) 758 with winds; and the blue line labeled with "4" represents the flight track in which aircraft 759 measured the response of cloud properties after seeding for locations between 4 and 5 and 760 between A and B (such as S1-S6 as shown in panel c). (b) 0.6 µm reflectance. (c) TBB at 761 12-µm channel with black line for the flight track and blue dotted line for the flight track 762 moved along the wind direction of 300° after 7–28 minutes from the original position A–H. 763



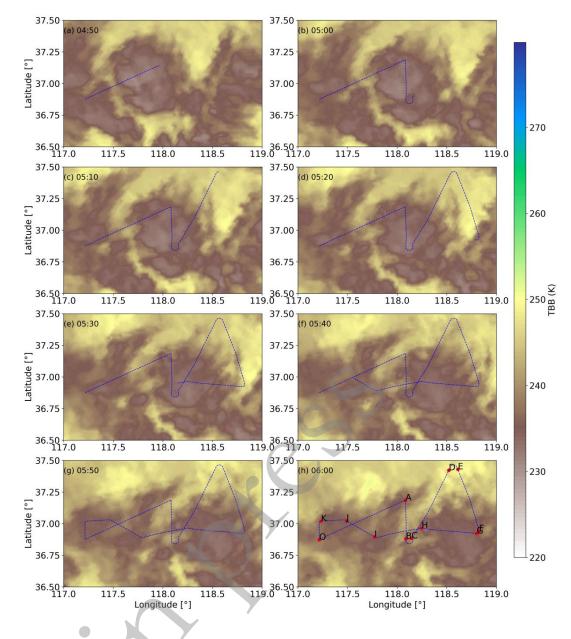


Figure 10. Evolution characteristics of the 10-minute TBB at 12-µm channel from H8 satellite
during (a–h) 04:50–06:00 UTC. Blue dashed lines are the actual flight track, and letters A–
K are turning points.

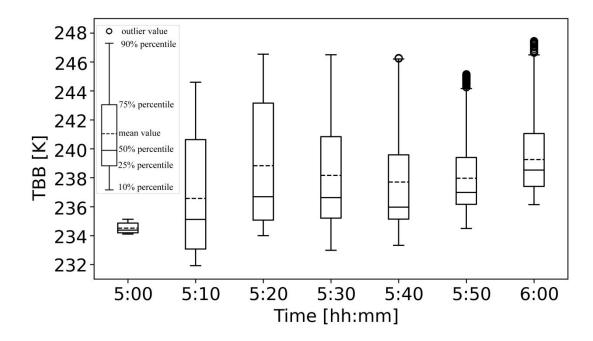


Figure 11. Evolution characteristics of the 10-minute TBB at 12 µm channel from H8 satellite
extracted along the moving section C–D. The solid line inside the box represents the 50th
percentile, the lower line was the 25th percentile, the upper line was the 75th percentile, the
dotted line was the mean value, and the lower and upper whiskers denote the 10th and 90th
percentiles, respectively.

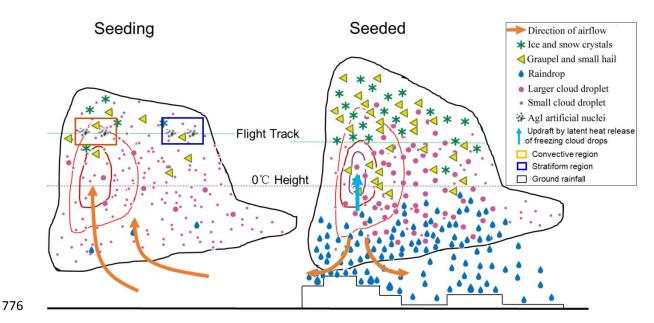


Figure 12. Conceptual model of seeding AgI at different parts of the mixed convective-

stratiform clouds. The left panel shows the situation before seeding, and the right panel shows

that after seeding. Green solid line was the flight track, and black dashed line was the freezing

- result 180 level. Signs such as particle category and airflow are shown in the legend.
- 781

Variables detected	Measurement	Resolution
	range	Resolution
Pofloativity	25.55dDa	Spatial:
,		30m;
Kadial velocity	-21-21m/s	Time: 0.2s
Droplet size distribution	2-50µm	1µm
Cloud particle image	25-1550µm	25µm
Precipitation particle image	100-6200µm	100µm
Meteorology (temperature,	Ŧ	
humidity, and wind)	-	-
	Reflectivity Radial velocity Droplet size distribution Cloud particle image Precipitation particle image Meteorology (temperature,	Variables detectedrangeReflectivity-25-55dBzRadial velocity-21-21m/sDroplet size distribution2-50µmCloud particle image25-1550µmPrecipitation particle image100-6200µmMeteorology (temperature,-

S' '

Table 1. The main instruments and their detection variables used in this study, along with themeasurement ranges, spatio-temporal resolution and the particle size spectral bin resolution.