# On the Nonlinear Response of Lower Stratospheric Ozone to $NO_x$ and $ClO_x$ Perturbations for Different $CH_4$ Sources

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# ABSTRACT

The impact of sulfate aerosol,  $ClO_x$  and  $NO_x$  perturbations for two different magnitudes of  $CH_4$ sources on lower stratospheric ozone is studied by using a heterogeneous chemical system that consists of 19 species belonging to 5 chemical families (oxygen, hydrogen, nitrogen, chlorine and carbon). The results show that the present modeled photochemical system can present several different solutions, for instance, periodic states and multi-equilibrium states appearing in turn under certain parameter domains, through chlorine chemistry and nitrogen chemistry together with sulfate aerosol as well as the increasing magnitude of  $CH_4$  sources. The existence of catastrophic transitions could produce a dramatic reduction in the ozone concentration with the increase of external sources.

Key words: lower stratosphere, atmospheric ozone, aerosol, multi-equilibrium, catastrophic transition

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## 1. Introduction

The extensive experimental and modeling studies of the last decade provide much evidence for the key role of photochemical processes in the observed ozone loss in the stratosphere, and this effect determines the particular importance of the investigations of photochemistry for this region.

In recent years, both the measurement in laboratories and model analyses have revealed that the heterogeneous reactions happening on the surface of aerosol are important and could lead to depletion of ozone (Worsnop et al., 1988; Mozurkewich and Calvert, 1988; Hofmann and Solomon, 1989; Rodriguez et al., 1991; Granier and Brasseur, 1992; WMO, 1992; Fried et al., 1994; Tie et al., 1994; Bojkov, 1995). In a general way, the total surface area of aerosols participating in heterogeneous reactions in a unit volume is low, since the sizes of aerosols are very small in the lower stratosphere. Usually, it is just of the magnitude of  $10^{-8}$  $\rm cm^2 \, cm^{-3}$ , which corresponds to the aerosol concentration before 1970 and referrs to the background or current value. However, because several intensive volcanic eruptions have happened in recent years, for example, the Elchichou event in 1982 and the Pinatubo event in 1991, a lot of matter with sulphide was projected into the stratosphere, and this was able to lead to the increase of aerosol concentration there. The observations show that the aerosol concentrations reached a value of about  $(10-100) \times 10^{-8}$  cm<sup>-1</sup> after the Pinatubo eruption (Hofmann and Solomon, 1989; Prather, 1992; Mc-Cormick and Veiga, 1992). Under these aerosol conditions, the influence of aerosol perturbation on ozone in the stratosphere has received more and more attention.

In addition, models of various atmospheric photochemical systems have been analyzed by Prather et al. (1979), White and Dietz (1984), Kasting and Ackerman (1985), Stewart (1993,1995), Yang and Brasseur (1994, 2001) and Konovalov et al. (1999). Their studies have revealed that under certain circumstances atmospheric chemical systems could exhibit multiple equilibrium solutions which produce catastrophic transitions. This research focusing on the nonlinear behavior of the atmospheric chemical system has suggested that the photochemical processes are complex and have the possibility to exhibit unexpected serious consequences in response to external forcing like some anthropogenic and natural perturbations.

Globally, fossil fuel combustion and natural sources are major sources of hydrocarbons (mostly  $CH_4$ ) which cause  $CH_4$  concentrations to increase in the atmosphere (WMO, 1995). Methane ( $CH_4$ ) is the most abundant hydrocarbon in the atmosphere and is useful as a tracer of atmospheric circulation because of its long photochemical lifetime (8–9 years in the global atmosphere), also it can not be created directly by chemical or photolytic reactions in the stratosphere.

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Under these considerations, an important motivation for our work has been the desire to analyze the behavior of the lower stratospheric photochemical system in response to enhanced atmospheric abundances of sulfate aerosol and reactive nitrogen and chlorine along with increasing  $CH_4$  sources. This is an extended research based on the former studies by Yang and Brasseur (2001, 2004).

Below we present our results in the following order. In section 2, we briefly describe the model used in the present study; in section 3, we evaluate the response of the photochemical system to external forcing strengthened by nitrogen with the aerosol surface area density for two different magnitudes of  $CH_4$  sources; and in section 4, we assess the response of the photochemical system to chlorine together with the aerosol surface area density for two different  $CH_4$  sources.

# 2. Model

The model used in this study is a zero-dimensional box model, in which the adopted chemical scheme is similar to that used by Yang and Brasseur (2004), except it is applied at 18 km altitude which enables it to exhibit the average circumstance of the low stratospheric atmosphere.

Table 1. Chemical reactions included in this heterogeneous model and their rates.

	Reaction	Reaction rate <sup>*</sup> (cm <sup>3</sup> s <sup>-1</sup> )
1	$O+O_2+M \rightarrow O_3+M$	$1.0 \times 10^{-15}$
2	$O+O_3 \rightarrow 2O_2$	$6.03 \times 10^{-16}$
3a	$O(^{1}D)+N_{2} \rightarrow O+N_{2}$	$2.98 \times 10^{-11}$
3b	$O(^{1}D)+O_{2} \rightarrow O+O_{2}$	$4.42 \times 10^{-11}$
4	$H_2O+O(^1D)\rightarrow 2OH$	$2.2 \times 10^{-10}$
5	$OH+O_3 \rightarrow O_2+HO_2$	$2.1 \times 10^{-14}$
6	$\rm HO_2+O_3 \rightarrow 2O_2+OH$	$1.09 \times 10^{-15}$
7	$\rm HO_2 {+} \rm HO_2 {\rightarrow} \rm H_2O_2 {+} \rm O_2$	$3.65 \times 10^{-12}$
8	$\rm OH+H_2O_2 \rightarrow H_2O+HO_2$	$1.39 \times 10^{-12}$
9	$OH+HO2 \rightarrow H_2O+O_2$	$1.52 \times 10^{-10}$
10	$HO_2 + O \rightarrow O_2 + OH$	$7.54 \times 10^{-11}$
11	$NO+O_3 \rightarrow NO_2+O_2$	$3.16 \times 10^{-15}$
12	$NO_2 + O \rightarrow NO + O_2$	$1.13 \times 10^{-11}$
13	$NO_2+O_3 \rightarrow NO_3+O_2$	$1.5 \times 10^{-18}$
14	$NO_2+NO_3+M\rightarrow N_2O_5+M$	$1.6 \times 10^{-12}$
15	$N_2O_5+M \rightarrow NO_2+NO_3+M$	$6.85 \times 10^{-8}$
16	$NO_2+OH+M\rightarrow HNO_3+M$	$8.4 \times 10^{-12}$
17	$HNO_3+OH \rightarrow NO_3+H_2O$	$3.67 \times 10^{-13}$
18	$\rm NO+HO_2 \rightarrow NO_2+OH$	$1.11 \times 10^{-11}$
19	$Cl+O3 \rightarrow ClO+O_2$	$8.75 \times 10^{-12}$
20	$ClO+O\rightarrow Cl+O_2$	$4.14 \times 10^{-11}$
21	$Cl+HO_2 \rightarrow O_2+HCl$	$3.94 \times 10^{-11}$
22	$\rm Cl+CH_4 \rightarrow \rm HCl+CO+\rm HO_2+\rm H_2O$	$1.74 \times 10^{-14}$
23	$ClO+NO \rightarrow Cl+NO_2$	$2.44 \times 10^{-11}$
24	$ClO+NO_2+M\rightarrow ClONO_2+M$	$1.33 \times 10^{-12}$
25	$ClO+HO_2 \rightarrow HOCl+O_2$	$1.2 \times 10^{-11}$
26	$HCl+OH\rightarrow H_2O+Cl$	$5.2 \times 10^{-13}$
27	$HOCl+OH \rightarrow H_2O+ClO$	$2.99 \times 10^{-13}$
28	$\rm OH+CH_4 \rightarrow 2H_2O+CO+HO_2$	$6.87 \times 10^{-16}$
29	$O(^{1}D)+CH_{4} \rightarrow CO+2H_{2}O$	$1.5 \times 10^{-10}$
30	$\rm CO+OH \rightarrow HO_2+CO_2$	$1.5 \times 10^{-13}$
31	$ClO+ClO\rightarrow Cl_2O_2$	$1.41 \times 10^{-13}$

\* For three-body reactions, equivalent second-order rate constants are calculated for an air density of  $2.72 \times 10^{18}$  cm<sup>-3</sup> at 18 km.

 Table 2. Photolysis reactions used in the heterogeneous model.

		$T_{*}(-1)$
	Reaction	$J_{k}^{*} (s^{-1})$
1	$O_2 + h\nu \rightarrow O + O$	$4.0 \times 10^{-14}$
2	$O_3 + h\nu \rightarrow O_2 + O(^1D)$	$2.5 \times 10^{-5}$
3	$O_3 + h\nu \rightarrow O_2 + O$	$5.2 \times 10^{-4}$
4	$H_2O_2 + h\nu \rightarrow 2OH$	$9.2 \times 10^{-6}$
5a	$NO_3 + h\nu \rightarrow NO_2 + O$	$1.56 \times 10^{-1}$
5b	$NO_3 + h\nu \rightarrow NO + O_2$	$2.01 \times 10^{-2}$
6	$NO_2 + h\nu \rightarrow NO + O$	$1.2 \times 10^{-2}$
7	$N_2O_5 + h\nu \rightarrow NO_2 + NO_3$	$2.3 \times 10^{-5}$
8	$HNO_3 + h\nu \rightarrow OH + NO_2$	$6.0 \times 10^{-7}$
9a	$ClONO_2 + h\nu \rightarrow NO_3 + Cl$	$5.13 \times 10^{-5}$
9b	$CIONO_2 + h\nu \rightarrow NO_2 + CIO$	$5.7 \times 10^{-6}$
10	$\mathrm{HOCl}+h\nu \rightarrow \mathrm{OH+Cl}$	$3.9 \times 10^{-4}$
11	$Cl_2O_2 + h\nu \rightarrow 2Cl + O_2$	$2.30 \times 10^{-3}$

\*Daytime values on 15 March at  $40^{\circ}$ N.

Similar to Yang and Brasseur (2004), the present model consists of 19 chemical species from the oxygen, hydrogen, nitrogen, chlorine, and carbon groups, which are determined by 45 homogeneous chemical and photochemical reactions and two heterogeneous reactions in sulfate aerosol; the chemical reactions and photolytic reactions are listed in Table 1 and Table 2 for 18 km altitude. Moreover, the atmospheric constituents for O<sub>2</sub> and H<sub>2</sub>O are assumed to be stable, taken as  $4.93 \times 10^{17}$  cm<sup>-3</sup> and  $8.22 \times 10^{12}$  cm<sup>-3</sup>, respectively, at 18 km altitude. According to Yang and Brasseur (2001, 2004), the photolysis frequencies follow the same distribution with time. All the photochemical states are averages between the daytime and nighttime solutions, and all the photolysis rates represent the equinoctial condition at 40°N. Similar to Yang and Brasseur (2001, 2004), the solution of the numerically "stiff" nonlinear first-order differential equations is obtained by using the Gear algorithm (Gear, 1969).

Although it is relatively simple, the present model can capture the most important chemical processes that influence stratospheric ozone and can better describe the average characteristics of atmospheric components in the lower stratosphere.

The external sources of reactive nitrogen and chlorine (denoted as  $S_{\rm N}$  and  $S_{\rm Cl}$ ) are assumed to arise from human activities such as the photo-oxidation of nitrous oxide  $(N_2O)$ , the photolysis of chlorofluorocarbons (CFCs) and the in situ injection of  $NO_x$  from high altitude aircraft in the atmosphere. The compensating loss of nitrogen and chlorine due to the wet scavenging of nitric acid  $(HNO_3)$  and hydrogen chloride (HCl) is represented by the first order loss rates given in Table 3, and the loss rate for carbon monoxide (CO) and the current source strengths of reactive nitrogen and chlorine  $(S_N \text{ and } S_{Cl})$  are also given in the Table. The source (upward transport from the troposphere) of methane  $(S_{CH_4})$  is assumed to be  $1000 \text{ cm}^{-3} \text{ s}^{-1}$ (denoted as test-I) and  $1800 \,\mathrm{cm}^{-3} \,\mathrm{s}^{-1}$  (denoted as test-II) for sensitivity analysis. In the following calculation,  $S_{\rm N}$  and  $S_{\rm Cl}$  together with aerosol surface area density A will be referred to as control parameters in this heterogenous photochemical model, where the nonlinear responses of the lower stratospheric ozone will be studied for different magnitude sources of CH<sub>4</sub> according to sensitivity test-I and test-II.

# 3. Response to the aerosol surface area density A and $S_{\rm N}$

In order to simplify our analysis and focus on the impact of reactive nitrogen together with the aerosol surface area density A, the behavior of the chemical system is studied for A and different values of  $S_{\rm N}$  chosen in the interval [115, 3000],  $S_{\rm Cl}$  remains at the current value of 13, and other parameters are taken as the current values given in Tables 1, 2, 3 if not otherwise stated. This is equivalent to discussing the behaviors of the system in two dimensional parameter space of  $P(S_{\rm N}, A)$ .

# 3.1 Result of test-I

Figure 1 gives the solutions of the system when  $115 \leq S_N \leq 3000$  and A are fixed at 1, 10, 100 and 150 for  $S_{CH_4}$  being 1000 cm<sup>-3</sup> s<sup>-1</sup>. It shows that solutions of this photochemical system include two branches of equilibrium states and multi-equilibrium solutions exist for certain parameters. In the case of A = 1 (Fig. 1a), the first equilibrium branch (in which the current

Table 3. Parameter values used in the heterogeneous model.

Parameter	Current value
1. first-order loss rate constants for $HNO_3$	$1 \times 10^{-8} \text{ s}^{-1}$
2. first-order loss rate constants for HCl	$3 \times 10^{-8} \text{ s}^{-1}$
3. first-order loss rate constants for CO	$1 \times 10^{-8} \text{ s}^{-1}$
4. source strength of $ClO_x$	$13 \text{ cm}^{-3} \text{ s}^{-1}$
5. source strength of $NO_x$	$115 \text{ cm}^{-3} \text{ s}^{-1}$

state is denoted by a dot) is denoted as the interval [115, 1620], and the second branch [1160, 3000]; the [1160, 1620] domain is characterized by multiequilibrium solutions, while the two endpoints are the critical values leading to catastrophic transitions from one branch of solutions. When  $S_{\rm N}$  is greater than 1620, increasing from the current value (equivalent to a 13-fold increase from the current value), the state of the system will jump from one stable equilibrium state to another, which will lead to an abrupt reduction in the ozone concentration by about 100 times and dramatic changes in the concentration of other chemical compounds. For A=10, from Fig. 1b, the corresponding multi-equilibrium period is [1835, 2580], and the topological structure of this system is not obviously different from Fig. 1a; however the width of its multiequilibrium solutions increases by about 1.6 times, also its location is far away from the current value of  $S_{\rm N}$ . For A=100, from Fig. 1c, the parameter interval of the multi-equilibrium solutions is near [1250, 2780],

its width is more obviously increased and the location is much further away from the current value. If the parameter A is continually increased, for example, to reach A=150 (Fig. 1d), the location leads to a catastrophe transition that shows little change compared with A=100, and its topological structure in the state-parameter space also shows little change there. From Fig. 1, it shows that whatever the parameter  $S_{\rm N}$ is, the system always keeps a "fold" catastrophe in the space  $P(S_{\rm N}, A)$ . In addition, even when A > 100, the influence of A on the concentration caused by the catastrophe transition seems to be a little change for all species, and the topological structure of the system in the state-parameter space has no obvious change.

#### 3.2 Result of test-II

In the comparison for  $S_{CH_4}$  equal to  $1800 \text{ cm}^{-3} \text{ s}^{-1}$ in this test, Fig. 2 gives the solutions of the system when the other parameters are given as in section 3.1. It is easy to see that the multi-equilibrium solutions

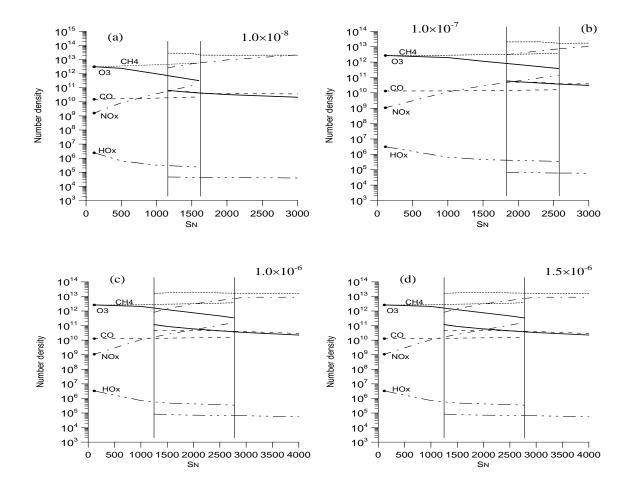


Fig. 1. Dependence of the states of the heterogeneous system on the aerosol surface area density A and the reactive nitrogen resource  $S_N$  for the source of CH<sub>4</sub> S<sub>CH<sub>4</sub></sub> chosen as 1000 cm<sup>-3</sup> s<sup>-1</sup> (units: cm<sup>-3</sup>).

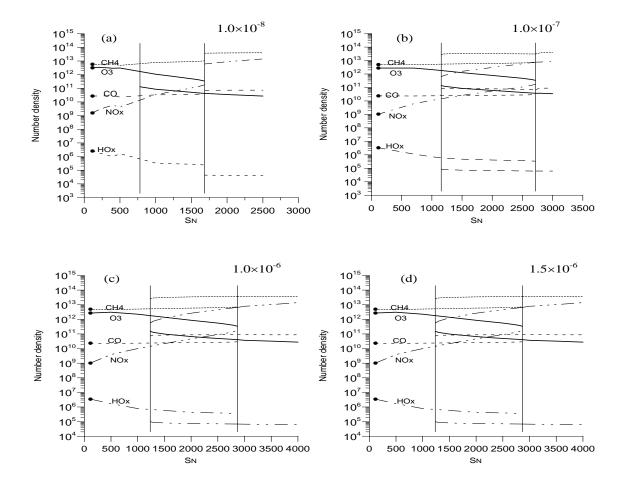


Fig. 2. Same as Fig. 1, but for  $S_{CH_4}$  chosen as  $1800 \text{ cm}^{-3} \text{ s}^{-1}$ .

like those in Fig. 1 are also found, and the topological structure of this system has no obvious change compared to that in test-I, which always keeps the "fold" catastrophe in the space  $P(S_N, A)$ . However, for each multi-equilibrium solution. which are located at [760, 1685], [1150, 2730], [1235, 2870], [1235, 2870] for A=1, 10, 100, 150 (in Figs. 2a–2d), respectively, it shows that the corresponding widths of their the multi-equilibrium solutions are much more increased than in test-I for all the cases with the increase of the  $CH_4$  source. The increase in the widths of the multiequilibrium solutions means that, if the catastrophic transition has occurred, the parameter  $S_{\rm N}$  requires a greater reduction in order to return to the original equilibrium branch. Furthermore, the location leading to catastrophic transition points of  $S_{\rm N}$  is far away from the current value  $(S_N=115)$  with the increase of Α.

#### 4. Response for when both $S_{Cl}$ and A change

In the following section, we discuss the behaviors of

the system in another 2-D parameter space  $P(S_{\text{Cl}}, A)$ .  $S_{\text{N}}$  remains at the current value of 115, and the other parameters are taken as the current values given in Tables 1, 2, 3 unless otherwise specified.

#### 4.1 Result of test-I

Figure 3 gives the solutions of the system when  $13 \leq S_{Cl} \leq 300$  for  $S_{CH_4} = 1000 \text{ cm}^{-3} \text{ s}^{-1}$ , and A is fixed at 1,10,100 and 150. When A = 1 (Fig. 3a), in the parameter of the  $S_{Cl}$  domain, no multi-equilibrium solutions like in Fig. 1 or Fig. 2 are found. This is due to the fact that when  $S_{Cl}$  is nearly less than 170, the number density of O<sub>3</sub> is almost unchanged depending on the increase of  $S_{Cl}$ , whereas it is sensitive to  $S_{Cl}$  in the period when  $S_{Cl}$  is greater than 170. When A = 10(Fig. 3b), the sensitive value is near 110 which is earlier than that in A = 1. But with the increase of A, as A = 100 (Fig. 3c), the structure of this photochemical system changes and multi-equilibrium solutions occur, and the domain of the multi-equilibrium solution is over [67, 69]. Furthermore, as A = 150 (Fig. 3d), its

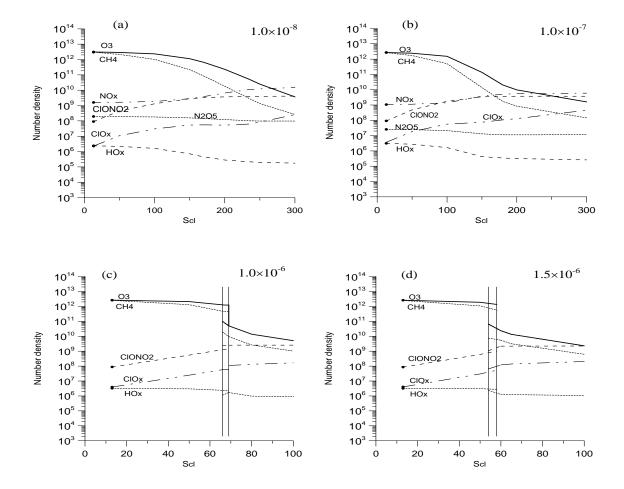


Fig. 3. Dependence of the states of the heterogeneous system on the aerosol surface area density A and the reactive chlorine resource  $S_{\text{Cl}}$  for  $S_{\text{CH}_4}$  chosen as 1000 cm<sup>-3</sup> s<sup>-1</sup>.

corresponding multi-equilibrium solution is located at [54, 58], and this location leads to the catastrophe transition being close to its current value  $S_{\rm Cl} = 13$ . This result indicates that an increase of about 4 times of the current value of  $S_{\rm Cl}$  could lead to the catastrophe transition. At this time, the equilibrium concentration of ozone is reduced by about 100 times in magnitude.

## 4.2 Result of test-II

Figure 4 gives the solutions of this system when  $13 \leq S_{\text{Cl}} \leq 300$ , and A is fixed at 1, 10, 100 and 150 for  $S_{\text{CH}_4}$  being  $1800 \text{ cm}^{-3} \text{ s}^{-1}$ . From Fig. 4a and Fig. 4b, we see that the solutions of the system have a similar structure to that shown in Figs. 3a and 3b. However, the sensitive value of  $S_{\text{Cl}}$  is near 260 (in Fig. 4a) and 180 (in Fig. 4b) which both depart from the current value in test-I.

With the increase of A, as in the case of A = 100 (in Fig. 4c), some dramatic changes occur in the ozone

concentrations; constitutions of the solution are as follows (here is a basic sketch of ozone number density dependence on A and  $S_{\rm Cl}$ : When  $S_{\rm Cl}$  increases to near 150 from the current value 13, a supercritical Hopf bifurcation takes place. The original equilibrium solution loses stability and a new stable periodic solution appears. When  $S_{\rm Cl}$  increases to near 170, an abrupt change occurs, and the state of the ozone system jumps to another equilibrium state with the ozone concentration being reduced by about 10 times in magnitude; when  $S_{\rm Cl}$  decreases to 135 from 250, it changes to a periodic solution from an equilibrium one through Hopf bifurcation; with the decrease of  $S_{\rm Cl}$  to near 116, an abrupt transition happens and it jumps to a higher equilibrium solution. When A = 150 (in Fig. 4d), it is similar to Fig. 4c, and only the sensitive region changes with  $S_{\rm Cl}$ . When  $S_{\rm Cl}$  increases to 105 from the current state, the state of this photochemical system turns into a periodic solution from an equilibrium one via Hopf bifurcation. When  $S_{\rm Cl}$  increases to 150, the abrupt

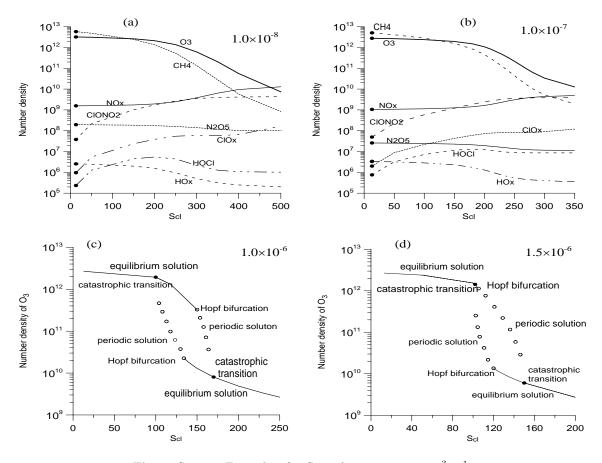


Fig. 4. Same as Fig. 3, but for  $S_{CH_4}$  chosen as  $1800 \text{ cm}^{-3} \text{ s}^{-1}$ .

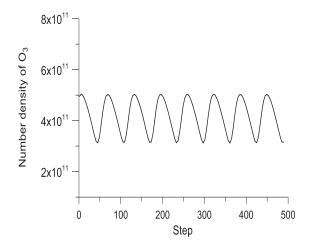


Fig. 5. Periodic solution of ozone density dependence on time obtained for  $S_{\rm Cl}=133 \text{ cm}^{-3} \text{ s}^{-1}$  and  $S_{\rm N}=115 \text{ cm}^{-3} \text{ s}^{-1}$  when  $A = 100 \times 10^{-8} \text{ cm}^{-1}$ ,  $S_{\rm CH_4} = 1800 \text{ cm}^{-3} \text{ s}^{-1}$ .

change takes place near this point, and the state of the system shifts to a new lower equilibrium state; when  $S_{\rm Cl}$  decreases to 118 from 200, it changes to a periodic solution from an equilibrium one via Hopf bifurcation. With the continued decrease of  $S_{\rm Cl}$  to near 103, an abrupt transition occurs and it jumps to a higher equilibrium solution. A periodic solution of ozone density dependence on time for  $S_{\rm Cl}=133$  cm<sup>-3</sup> s<sup>-1</sup> and  $S_{\rm N}=115$  cm<sup>-3</sup> s<sup>-1</sup> when  $A = 100 \times 10^{-8}$  cm<sup>-1</sup> is provided in Fig. 5.

#### 5. Discussion and conclusions

This paper continues the investigation (Yang and Brasseur, 2004) of non-linear behaviors of the lower stratospheric photochemical system. Moreover, this study is in view of the fact that natural emissions of  $ClO_x$  and  $NO_x$  are rather uncertain and that anthropogenic emissions have rapidly increased in recent years (Crutzen and Birks, 1982; WMO, 1995; Fraser and Prather, 1999). In addition,  $CH_4$  is the most abundant hydrocarbon in the atmosphere and is useful as a tracer of atmospheric circulation; its concentrations have also increased in the atmosphere (WMO, 1995).

Under these considerations, we use a zerodimensional box photochemical model incorporating the more important chemical and photochemical processes, especially the main heterogeneous processes, in the mid-latitude lower stratosphere at the time of the spring equinox and expect to theoretically analyze the behaviors of the lower stratospheric photochemical system in response to enhanced atmospheric abundances of sulfate aerosol and reactive nitrogen and chlorine as well as increasing  $CH_4$  sources.

The results given in sections 3 and 4 show that the present modeled photochemical system can present several different solutions, for instance, periodic states and multi-equilibrium states under some certain parameter domains, through chlorine chemistry and nitrogen chemistry together with sulfate aerosol as well as the increasing magnitude of  $CH_4$  sources.

These qualitative results reveal that although locations leading to catastrophic transition points are far away from the current value of reactive nitrogen and chlorine, they might have the possibility to occur under some extreme abnormities.

The results of our paper contribute to the understanding of the possible future evolution of the atmospheric ozone in the lower mid-latitude stratosphere under the conditions of the increasing external forcing strength by reactive nitrogen and chlorine with different magnitudes of CH<sub>4</sub> sources. Actually, the existence of the nonlinear effects in the box models employed in these studies is conditioned by the presence of influxes and sinks of chemical constituents, which are due to transport processes, however, an analysis of the photochemistry within the frameworks of the box models suggests that the transport processes involved should be parameterized in the simplest manner. In addition, photolytic processes in this box model do not change with time, which is due to the fact that this box model is applied in a fixed situation and the temperature circumstances are assumed to be stable. However in the real atmosphere, these processes are sensitive to the temperature, but such feedback in this model is ignored, and this will be investigated in our future studies.

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