

Electronic Supplementary Material to: The Chinese Carbon-Neutral goal: Challenges and Prospects*

Ning ZENG^{1,2}, Kejun JIANG³, Pengfei HAN^{4,2}, Zeke HAUSFATHER⁵, Junji CAO⁶,
Daniel KIRK-DAVIDOFF¹, Shaukat ALI⁷, and Sheng ZHOU⁸

¹Department of Atmospheric and Oceanic Science, and Earth System Science Interdisciplinary Center, University of Maryland, College Park 20742, Maryland, USA

²State Key Laboratory of Numerical Modeling for Atmospheric Sciences and Geophysical Fluid Dynamics, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China

³Energy Research Institute, National Development and Reform Commission, Beijing 100045, China

⁴Carbon Neutrality Research Center, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China

⁵Breakthrough Institute, Oakland 94612, California, USA

⁶Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China

⁷Global Change Impact Study Centre, Ministry of Climate Change, Islamabad 45250, Pakistan

⁸Institute of Energy, Environment and Economy, Tsinghua University, Beijing 100084, China

ESM to: Zeng, N., K. J. Jiang, P. F. Han, Z. Hausfather, J. J. Cao, D. Kirk-Davidoff, S. Ali, and S. Zhou, 2022: The Chinese Carbon-Neutral Goal: Challenges and prospects. *Adv. Atmos. Sci.*, **39**(8), 1229–1238, <https://doi.org/10.1007/s00376-021-1313-6>.

Integrated Assessment Models and scenarios used for the carbon-neutral goal

The 1.5°C scenario of the Intergovernmental Panel on Climate Change (IPCC) corresponds broadly to net-zero anthropogenic CO₂ emissions by 2050 (IPCC, 2018). In our analysis, four scenarios from Integrated Assessment Model (IAM) projections were used to evaluate China's carbon-neutral goal.

The linked Integrated Policy Assessment Model of China (IPAC) model (Jiang et al., 1998, 2010, 2018, 2019) is an integrated model developed by the Energy Research Institute (ERI) of the National Development and Reform Commission (NDRC) to analyze global, national, and regional energy and environment policies. It includes three sub-models: (1) the

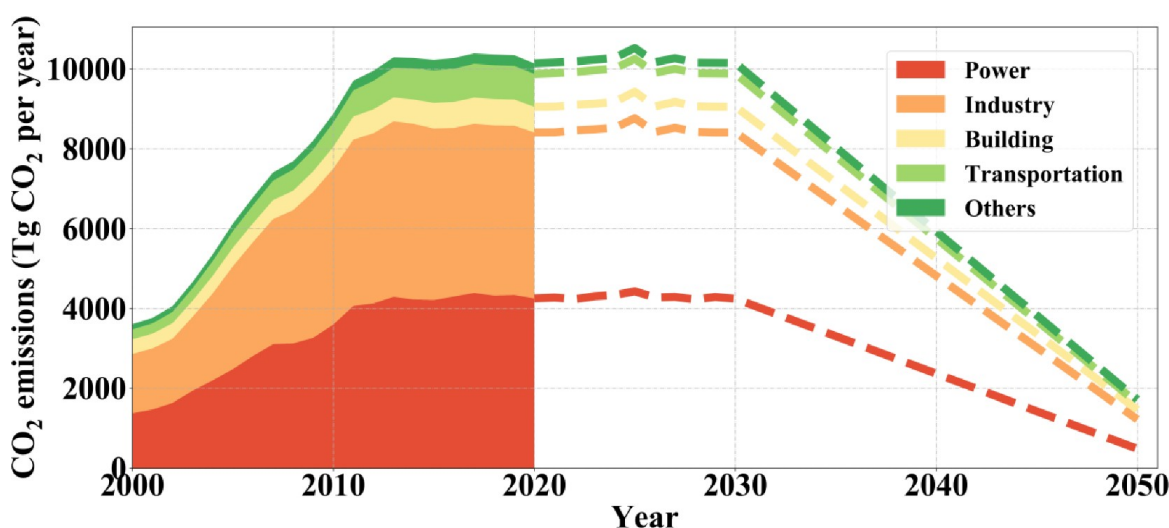


Fig. S1. Trajectories of China's primary energy sources in the four proposed scenarios.

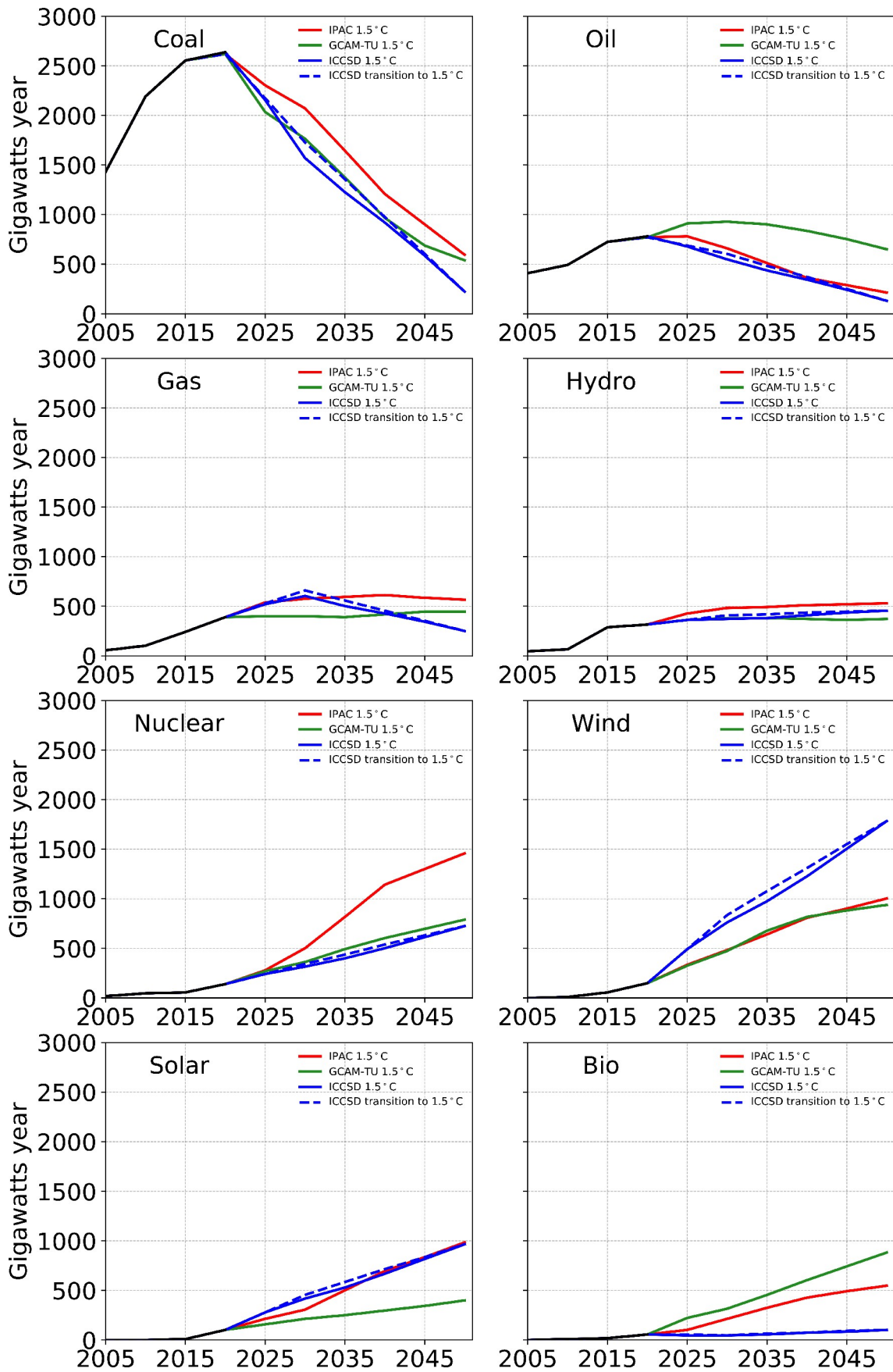


Fig. S2. CO₂ emissions trajectories from the four scenarios..

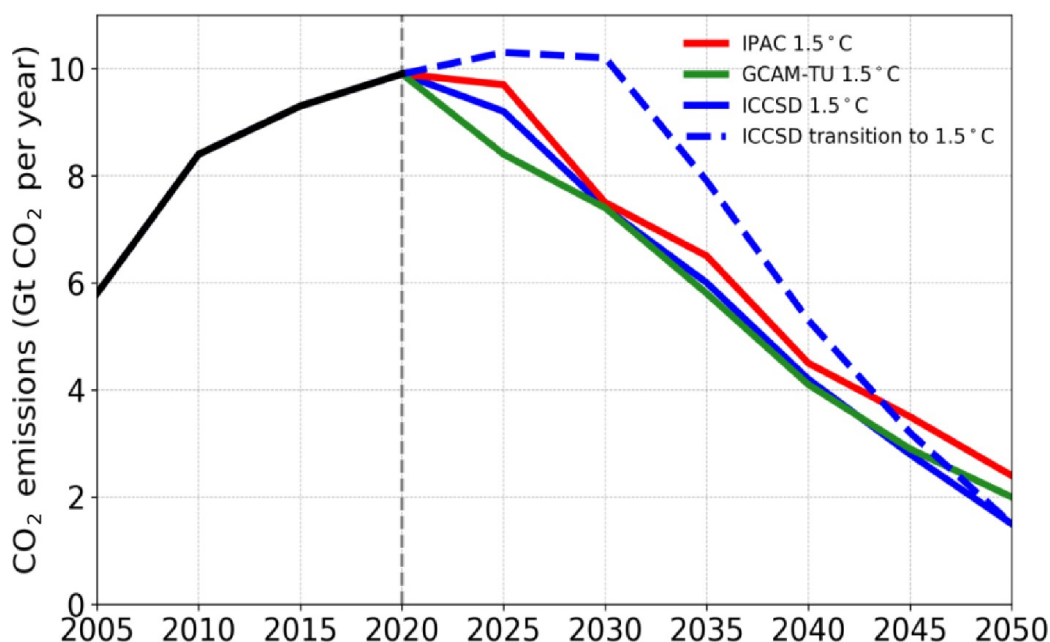


Fig. S3. Sectoral CO₂ emissions from 2000–50. See Han et al., 2021 for details.

IPAC-Emission global model, (2) the IPAC-CGE model; (3) and IPAC-AIM/technology model. The IPAC-Emission model focuses on energy and land-use activities, and it was revised to include CH₄, N₂O, HFC, PHC, and SF₆ to simulate more gas emissions. The IPAC-CGE model is a general equilibrium model for China. It is mainly responsible for analyzing the economic impacts of different energy and environmental policies. It includes major sectors such as household, government, agriculture, energy, and other production sectors. IPAC-AIM/technology is the main component of the IPAC model, which selects technologies with the lowest costs to provide the energy service. It includes 42 sectors and their products and almost 600 technologies, including existing and potential technologies.

The Global Change Assessment Model Tsinghua University (GCAM-TU) model (Zhou et al., 2021) is adapted from the US GCAM (Edmonds and Reilly, 1983; Kyle et al., 2010), which is a global integrated assessment model with 32 divided regions, including China, and it is a long-term, partial-equilibrium model designed to address issues on the behavior and interactions between energy, water, agriculture, land use, economy, and climate. The model has been developed at the US Department of Energy’s Pacific Northwest National Laboratory (PNNL) since the 1980s and has been widely used in energy consumption and CO₂ emissions research (Pan et al., 2018; Zhou et al., 2018a, 2021). It is an open-source model with transparent and verifiable parameters and data (Zhou et al., 2018a, b). The GCAM-TU adjusted the parameters and local policies to make it more suitable to simulate China’s energy and CO₂ emissions (Zhou et al., 2021). Specifically, the energy consumption and CO₂ emissions of China, as well as other major regions, in both the base year and the target year of the nationally determined contribution (NDC) were adjusted. Energy supply and demand data, including the power sector in the base year (2015), were recalibrated using China’s latest energy statistics. The future trends of the supply and demand of both energy and electricity were adjusted according to the latest domestic research results.

The ICCSD and ICCSD 1.5°C “transition pathway” from Tsinghua University have synthesized results from 18 research topics under a major project using multiple models (Project Comprehensive Report Preparation Team, 2020). The macro-analyses are based on trend analyses, while the study used sectoral models for sector-based emissions and projections. There were four basic scenarios: (1) Policy scenario; (2) Reinforced policy scenario; (3) 2°C scenario; (4) 1.5°C scenario. Considering the inertia in the energy system, two more pathways are proposed to ‘transition’ from the reinforced-policy scenario to the 1.5°C and the 2°C scenarios, implying more gradual changes before 2030 and more drastic changes after. Here we analyze the two carbon-neutral consistent pathways: the standard ICCSD 1.5°C scenario and the ICCSD transition to the 1.5°C scenario.

Among these models, while IPAC has long been a main in-house model used for economic and energy planning at NDRC, the other models have direct linkage with widely used global IAM models. Compared to most global models, they have adapted parameter values and assumptions more specific to China, thus potentially providing greater insight into China’s carbon-neutral pathways.

REFERENCES

Edmonds, J., and J. Reilly, 1983: A long-term global energy-economic model of carbon dioxide release from fossil fuel use. *Energy Eco-*

- nomics*, **5**(2), 74–88, [https://doi.org/10.1016/0140-9883\(83\)90014-2](https://doi.org/10.1016/0140-9883(83)90014-2).
- Han, P., and Coauthors, 2021: Decreasing Emissions and Increasing Sink Capacity to Support China in Achieving Carbon Neutrality Before 2060. Available from <https://arxiv.org/abs/2102.10871>.
- IPCC, 2018: Summary for policymakers. In: Global Warming of 1.5°C. An IPCC Special Report on the Impacts of Global Warming of 1.5°C Above Pre-Industrial Levels and Related Global Greenhouse Gas Emission Pathways, in the Context of Strengthening the Global Response to the Threat of Climate Change, Sustainable Development, and Efforts to Eradicate Poverty. Available from <https://www.ipcc.ch/sr15/>.
- Jiang, K. J., X. L. Hu, Y. Matsuoka, and T. Morita, 1998: Energy technology changes and CO₂ emission scenarios in China. *Environmental Economics and Policy Studies*, **1**(2), 141–160, <https://doi.org/10.1007/BF03353898>.
- Jiang, K. J., Q. Liu, X. Zhuang, and X. L. Hu, 2010: Technology roadmap for low carbon society in China. *Journal of Renewable and Sustainable Energy*, **2**(3), 031008, <https://doi.org/10.1063/1.3458415>.
- Jiang, K. J., C. M. He, X. Y. Xu, W. Y. Jiang, P. P. Xiang, H. Li, and J. Liu, 2018: Transition scenarios of power generation in China under global 2 °C and 1.5 °C targets. *Global Energy Interconnection*, **1**(4), 477–486, <https://doi.org/10.14171/j.2096-5117.gei.2018.04.008>.
- Jiang, K. J., S. Chen, C. M. He, J. Liu, S. Kuo, L. Hong, S. L. Zhu, and X. Pianpian, 2019: Energy transition, CO₂ mitigation, and air pollutant emission reduction: Scenario analysis from IPAC model. *Natural Hazards*, **99**(3), 1277–1293, <https://doi.org/10.1007/s11069-019-03796-w>.
- Kyle, P., L. Clarke, F. Rong, and S. J. Smith, 2010: Climate policy and the long-term evolution of the U. S. buildings sector. *The Energy Journal*, **31**(2), 145–172, <https://doi.org/10.5547/issn0195-6574-ej-vol31-no2-6>.
- Pan, X. Z., H. L. Wang, L. N. Wang, and W. Y. Chen, 2018: Decarbonization of China's transportation sector: In light of national mitigation toward the Paris Agreement goals. *Energy*, **155**, 853–864, <https://doi.org/10.1016/j.energy.2018.04.144>.
- Project Comprehensive Report Preparation Team, 2020: A comprehensive report on the research of China's long-term low-carbon strategy and pathway. *China Population, Resources and Environment*, **30**(11), 1–25. (in Chinese)
- Zhou, S., Y. Wang, Z. Y. Yuan, and X. M. Ou, 2018a: Peak energy consumption and CO₂ emissions in China's industrial sector. *Energy Strategy Reviews*, **20**, 113–123, <https://doi.org/10.1016/j.esr.2018.02.001>.
- Zhou, S., Y. Wang, Y. Y. Zhou, L. E. Clarke, and J. A. Edmonds, 2018b: Roles of wind and solar energy in China's power sector: Implications of intermittency constraints. *Applied Energy*, **213**, 22–30, <https://doi.org/10.1016/j.apenergy.2018.01.025>.
- Zhou, S. Q. Tong, X. Z. Pan, M. Cao, H. L. Wang, J. Gao, and X. M. Ou, 2021: Research on low-carbon energy transformation of China necessary to achieve the Paris agreement goals: A global perspective. *Energy Economics*, **95**, 105137, <https://doi.org/10.1016/j.eneco.2021.105137>.